GREATBATCH, INC.

Form 4 March 08, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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See Instruction

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bratton Susan M Issuer Symbol GREATBATCH, INC. [GB] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify 9645 WEHRLE DRIVE 03/06/2007 below) VP, Commercial Power (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CLARENCE, NY 14031 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A	) or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of	(D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 a)	nd 5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
				( <i>A</i>	r	Reported Transaction(s) (Instr. 3 and 4)		
			Code V		O) Price	(======================================		
Common	03/06/2007		A	$\frac{2,991}{(1)}$ A	\$0	52,884	D	
Common						3,503.528	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: GREATBATCH, INC. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee stock options	\$ 32.48	03/06/2007		A	522 (2)	12/31/2001	05/18/2011	Common	522
Employee stock options	\$ 25.5	03/06/2007		A	7,478 (3)	12/31/2007	03/06/2017	Common	7,478

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Othe

Bratton Susan M 9645 WEHRLE DRIVE CLARENCE, NY 14031

VP, Commercial Power

## **Signatures**

/s/ Christopher J. Thome as attorney-in-fact for Susan M. Bratton

03/08/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of restricted stock pursuant to issuer's Stock Incentive Plan consisting of 2,991 shares of restricted stock over a four-year period, (1) 50% after the first two years, 25% after the third year and 25% after the fourth year. The vesting will occur on the last calendar day of the appropriate year.
- On May 18, 2001, the reporting person was granted an option to purchase 4,000 shares of common stock. The option vests in partial (2) installments beginning December 31, 2001, subject to satisfaction of certain performance criteria by the issuer. The performance criteria for 522 shares has been met resulting in the vesting of those shares subject to possible recalculation.
- (3) These non-qualified options vest in four equal installments beginning with 25% on December 31, 2007; 25% on December 31, 2008; 25% on December 31, 2009; and 25% on December 31, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2