STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

#### MCDONALD R BRUCE

Form 4

December 14, 2009

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1 Name and Address of Departing Day

	ddress of Reporting 1 D R BRUCE	Symbol	er Name <b>and</b> SON CON				5. Relationship o Issuer	, ,	· ,
(Last) 5757 N. GRI AVENUE, P			of Earliest Tr Day/Year) 2009	ansaction			DirectorX Officer (give below)		6 Owner er (specify
	(Street)	Filed(M	nendment, Da onth/Day/Year		l		6. Individual or J Applicable Line) _X_ Form filed by	oint/Group Fili	ng(Check erson
MILWAUK (City)	EE, WI 53201-03	(7:m)					Person		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed	3. f Transactic	4. SecuronAcquired Dispose (Instr. 3,	ities d (A) c d of (D , 4 and  (A) or	or ))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							15,819.436 <u>(2)</u>	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable Expiration Date (Month/Day/Year		7. Title Underly (Instr. 3
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units/Retirement Restoration Plan	(3)					<u>(4)</u>	<u>(4)</u>	Com
Phantom Stock Units/Long-Term Incentive Plan	<u>(3)</u>	12/11/2009		A	3,288.272	<u>(5)</u>	<u>(5)</u>	Com
Phantom Stock Units/Restricted Stock Grant	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Com
Employee Stock Option (Right to Buy)	\$ 13.3533					11/26/2003	11/26/2011	Com
Employee Stock Option (Right to Buy)	\$ 13.4325					11/20/2004	11/20/2012	Com
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005	11/19/2013	Com
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006	11/17/2014	Com
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007	11/16/2015	Comi
Employee Stock Option (Right to Buy)	\$ 23.965					10/02/2008	10/02/2016	Comi
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009(7)	10/01/2017	Comi
Employee Stock Option (Right to Buy)	\$ 28.79					10/01/2010(7)	10/01/2018	Com
	\$ 24.87					10/01/2011(7)	10/01/2019	

**Employee Stock** Option (Right to Buy)

Com Sto

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
coporaing of their state of the	Director	10% Owner	Officer	Other			
MCDONALD R BRUCE 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Exec Vice President & CFO				

### **Signatures**

Angela M. Blair, Attorney-In-Fact for R. Bruce McDonald

12/14/2009

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 27,000 shares of restricted stock granted on November 2, 2009 which vest as follows: 13,500 on 11/2/2011, and 13,500 on **(1)** 11/2/2013, subject to continuous employment with the issuer.
- The number of underlying securities is based on the stock fund balance on December 11, 2009. The actual number of shares issuable (2) upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a December 11, 2009, stock fund price of \$27.37 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are (3) payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company. Phantom stock units accrue in the plan through monthly payroll deductions once the limit has been reached in the reporting person's 401(k) plan. The Retirement Restoration Plan mirrors the issuer's broad-based 401(k) plan.
- The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were (6) awarded under the Johnson Controls Executive Deferred Restricted Plan. The units are to be settled 100% in cash upon the reporting person's termination of employment with the company, subject to vesting provisions.
- (7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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