

VALANJU SUBHASH S
Form 4
October 04, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VALANJU SUBHASH S

(Last) (First) (Middle)
5757 N. GREEN BAY AVENUE, P.O. BOX 591
(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)
10/02/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President and CIO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (D) Price | | | |
| Common Stock | 10/02/2007 | | A | 49.736 A \$ 40.2122 | 17,293.683 (1) (2) | D | |
| Common Stock | | | | | 19,363.485 (3) (4) | I | By 401(k) Plan Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount of Shares |
| Phantom Stock Units - Annual Incentive Plan | (5) | | | | | (6) | (6) | Common Stock | 68,3 |
| Phantom Stock Units - Long-Term Incentive Plan | (5) | | | | | (9) | (9) | Common Stock | 7,8 |
| Phantom Stock Units/Excess Benefit Plan-Common | (5) | | | | | (12) | (12) | Common Stock | 5,7 |
| Stock Option | \$ 9.7344 | | | | | 11/17/2001 | 11/17/2009 | Common Stock | 4 |
| Stock Option | \$ 9.474 | | | | | 11/15/2002 | 11/15/2010 | Common Stock | 9 |
| Stock Option | \$ 13.3717 | | | | | 11/14/2003 | 11/14/2011 | Common Stock | 6 |
| Stock Option | \$ 13.4325 | | | | | 11/20/2004 | 11/20/2012 | Common Stock | 7 |
| Stock Option | \$ 17.5167 | | | | | 11/19/2005 ⁽¹⁵⁾ | 11/19/2013 | Common Stock | 7 |
| Stock Option | \$ 20.5633 | | | | | 11/17/2006 ⁽¹⁵⁾ | 11/17/2014 | Common Stock | 6 |
| Stock Option | \$ 22.5617 | | | | | 11/16/2007 ⁽¹⁵⁾ | 11/16/2015 | Common Stock | 6 |
| Stock Option | \$ 23.965 | | | | | 10/02/2008 ⁽¹⁵⁾ | 10/02/2016 | Common Stock | 4 |
| Stock Option | \$ 40.21 | | | | | 10/01/2009 ⁽¹⁵⁾ | 10/01/2017 | Common Stock | 3 |

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- (17) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 60,000 additional option shares of common stock. The original grant price was \$28.4219.
- (18) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 44,000 additional option shares of common stock. The original grant price was \$40.115.
- (19) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 48,000 additional option shares of common stock. The original grant price was \$40.2975.
- (20) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 48,000 additional option shares of common stock. The original grant price was \$52.55.
- (21) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 42,000 additional option shares of common stock. The original grant price was \$61.69.
- (22) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 40,000 additional option shares of common stock. The original grant price was \$67.685.
- (23) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 32,000 additional option shares of common stock. The original grant price was \$71.895.
- (24) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 20,000 additional option shares of common stock. The original grant price was \$120.63.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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