Edgar Filing: CARPENTER GEORGE T - Form 4

CARPENTER GEORGE T

Form 4

December 11, 2002

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

Name and Address of  Carpenter George T.		. Issuer N'B''	Vame <b>an</b>	d Tick	Pers	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First)  Barnes Group Inc.  P.O. Box 489, 123 Main	o	. I.R.S. Iof Reporti	ng Perso	n,	umber	4. Statement for Month/Day/Year December 10, 2002	10% _ C	X Director		
(Stree Bristol, CT 06011-0489						5. If Amendment, Date of Original (Month/Day/Year)	(Ch <b>X</b> F Pers F	Individual or Joint/Group Filing heck Applicable Line) Form filed by One Reporting rson Form filed by More than One eporting Person		
(City) (State		Tal	ble I N	on-De	, Disposed	ed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	2. Trans- action Execution Date Date, (Month/ if any Day/ Year) (Month/E Year)		Trans action Code y/ (Instr 8)	- Acqu n Dispo (Instr	ired (Absed of a. 3, 4 &	(D) (S 5)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)			
			Code	VAIIIO	oi (D		(msu. 5 & 1)			
Common Stock, Par Value \$0.01 Per Share							6,496.3462	D		
Common Stock, Par Value \$0.01 Per Share							119,147	I	By S. Carpenter Construction Co.	
Common Stock, Par Value \$0.01 Per Share							434.4308 <u>(1)</u>	I	By My Wife	
Common Stock, Par Value \$0.01 Per Share	12/10/02		A	53.40	)48 A	22.46				
Common Stock, Par Value \$0.01 Per Share	(2)		A	42.69	049 A	(3)	5001.0773	I	By Non-Employee Director Deferred Stock Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $Persons \ who \ respond \ to \ the \ collection \ of \ information \ contained \ in \ this \ form \ are \ not \ required \ to \ respond \ unless \ the \ form \ displays \ a \ currently \ valid \ OMB \ control \ number$ 

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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### FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3.	3A.	4.	5.		<ol><li>Date Exerc</li></ol>	isable	7. Tit	le and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Num	ber	erand Expiration		Amo	unt of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of		Date		Unde	rlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Deriv	erivati@Month/Day/		Secui	rities	(Instr. 5)	Beneficially	Form	Ownership	
(Instr. 3)	Derivative		if any		Secu	riti	<b>X</b> ear)		(Instr	. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acqu	iire	d					Following	ative	
		Day/	Day/	8)	(A) o	r						Reported	Security:	
		Year)	Year)		Dispo	Disposed						Transaction(s)	Direct	
					of (D	)						(Instr. 4)	(D)	
												` ′	or	
					(Instr.								Indirect	
					3,4 &								(I)	
					5)								(Instr. 4)	
				Code V	(A) (	D)	Date	Expira-	Title	Amount				
							Exer-cisable	tion		or				
								Date		Number				
										of				
										Shares				

Explanation of Responses:

By: /s/ Signe S. Gates, Attorney-in-Fact

<u>December 11, 2002</u>

Date

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>(1)</sup> I disclaim beneficial ownership of the shares held by my wife.

<sup>(2)</sup> Common stock acquired on December 10, 2002, pursuant to Non-Employee Deferred Stock Plan.

<sup>(3)</sup> Common stock acquired on December 10, 2002, pursuant to Non-Employee Deferred Stock Plan.

<sup>\*\*</sup>Signature of Reporting Person

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).