

NOMURA HOLDINGS INC
Form 6-K
November 13, 2018
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of November 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from October 1, 2018 to October 31, 2018.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 13, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from October 1, 2018 to October 31, 2018

filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on November 13, 2018]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of October 31, 2018

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	October 1	1,550,000 859,332,680
	October 2	1,550,000 851,737,200
	October 3	
	October 4	1,660,000 922,110,360
	October 5	
	October 9	1,558,200 877,155,920
	October 10	
	October 11	1,850,000 1,031,548,910
	October 12	
	October 15	1,841,300 1,032,471,800
	October 16	1,850,000 993,737,420
	October 17	
	October 18	1,811,300 969,213,710
	October 19	
	October 22	2,000,000 1,065,347,010

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	October 23	1,920,000	1,027,389,860
	October 24		
	October 25	1,947,200	1,076,721,420
	October 26		
	October 29	2,000,000	1,117,043,920
	October 30	1,939,100	1,066,908,060
	October 31		
		1,740,200	963,443,920
		1,910,000	1,044,110,670
		1,910,000	1,039,067,540
		1,910,000	1,003,568,790
		1,910,000	1,011,099,020
		1,850,000	987,552,470
		1,850,000	983,349,220
		1,685,300	914,066,620
Total		39,463,100	21,504,772,650
Aggregate shares repurchased as of the end of this reporting month		58,613,100	31,432,469,270
Progress of share repurchase (%)		58.6	44.9

Table of Contents**2. Status of disposition**

as of October 31, 2018

	Number of shares disposed in the reporting month (Date)	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares		
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
	October 12	5 2,770
Subtotal		5 2,770
Other (exercise of stock acquisition rights)	(Date)	
	October 1	40,000 2,421,000
	October 2	25,400 3,005,400
	October 3	21,000 6,274,000
	October 4	8,000 2,391,000
	October 5	34,700 4,799,700
	October 9	70,700 8,999,700
	October 10	10,000 2,990,000
	October 12	10,500 308,500
	October 15	10,000 2,990,000
	October 16	18,200 3,594,200
	October 17	385,400 6,041,400

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	October 18	19,000	5,681,000
	October 19	10,000	2,990,000
	October 22	330,300	3,607,300
	October 23	347,100	1,837,100
	October 24	138,700	3,411,700
	October 25	20,900	1,808,900
	October 26	59,700	11,085,700
	October 29	95,800	1,883,800
	October 30	41,000	3,617,000
	October 31		
Subtotal		1,773,300	85,774,300
Total		1,773,305	85,777,070

3. Status of shares held in treasury

as of October 31, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	298,432,403