

NOMURA HOLDINGS INC
Form 6-K
October 12, 2017
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of October 2017

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from September 1, 2017 to September 30, 2017

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: October 12, 2017

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

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[Translation of Share Buyback Report for the reporting month from September 1, 2017 to September 30, 2017 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on October 12, 2017]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)
as of September 30, 2017

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 27, 2017)		
(Period of repurchase: from May 17, 2017 to March 30, 2018)	100,000,000	80,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	September 1	2,074,000
	September 4	2,154,800
	September 5	2,161,000
	September 6	2,574,100
	September 7	2,593,000
	September 8	2,593,000
	September 11	3,023,000
	September 12	3,016,400
	September 13	3,018,100
	September 14	2,466,800
	September 15	2,519,000
		2,641,800
		1,304,985,440
		1,294,323,610
		1,491,645,380
		1,504,481,640
		1,484,345,650
		1,756,647,160
		1,783,536,150
		1,834,422,440
		1,494,492,210
		1,548,768,400
		1,665,406,480

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September 19	2,465,100	1,566,822,260
September 20	2,796,000	1,781,754,790
September 21	2,756,000	1,735,689,490
September 22		

Total	38,852,100	23,512,492,220
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Aggregate shares repurchased as of the end of this reporting month	64,227,700	39,296,228,940
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Progress of share repurchase (%)	64.2	49.1
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as of September 30, 2017

	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
Subtotal		
Other (exercise of stock acquisition rights)	(Date)	45,700 4,021,700
	September 1	4,700 2,227,800
	September 4	1,900 1,900
	September 5	151,600 6,895,600
	September 6	43,000 15,545,000
	September 7	42,100 14,598,100
	September 8	15,500 2,800,500
	September 11	81,000 6,703,000
	September 12	16,600 6,638,600
	September 13	58,200 24,252,200
	September 14	16,600 4,867,600
	September 15	65,700 10,188,700
	September 19	24,500 9,429,500
	September 20	101,300 23,445,300
	September 21	69,600 12,367,600

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	September 22	18,000	8,180,000
	September 25	31,100	13,748,100
	September 26	40,600	40,600
	September 27	73,100	73,100
	September 28		
Subtotal		900,800	166,024,900
Total		900,800	166,024,900

3. Status of shares held in treasury

as of September 30, 2017

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	336,080,504