

NOMURA HOLDINGS INC
Form 6-K
March 09, 2017
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of March 2017

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Extraordinary Report Pursuant to the Financial Instruments and Exchange Act

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: March 9, 2017

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Extraordinary Report Filed with the Director General of the Kanto Finance Bureau on March 9, 2017]

1. Reason for Submission

As a change in Representative Executive Officers has been resolved at the Board of Directors meeting held on March 7, 2017, the Company hereby files this Extraordinary Report pursuant to Article 24-5, Clause 4 of Financial Instruments and Exchange Act and Article 19, Clause 2, Item 9 of Cabinet Office Ordinance on Disclosure of Corporate Information, Etc.

2. Matters Reported

(1) Representative Executive Officer to be appointed

| Title and Position | Name | (Date of Birth) | Date of Change | Number of Shareholdings (in hundreds) | Business Experience |
|--|-------------------|-----------------|----------------|--|---|
| Representative | Shoichi Nagamatsu | | Apr. 1, 2017 | 2,007 | Apr. 1982 Joined the Company |
| Executive Officer, Deputy President and Chief of Staff | | (Jul. 6, 1958) | | | Apr. 2004 Senior Managing Director of Nomura Securities Co., Ltd. |
| | | | | | Oct. 2008 Executive Managing Director of the Company |
| | | | | | Senior Managing Director of Nomura Securities Co., Ltd. |
| | | | | | Jun. 2010 Senior Corporate Managing Director of the Company |
| | | | | | Senior Corporate Managing Director of Nomura Securities Co., Ltd. |
| | | | | | Apr. 2012 Senior Corporate Managing Director of Nomura Securities Co., Ltd. |
| | | | | | Jun. 2012 |

Executive Managing Director and
Senior Corporate Managing Director
of Nomura Securities Co., Ltd.

Apr. 2013 Executive Managing Director of the
Company

Executive Managing Director and
Executive Vice President of Nomura
Securities Co., Ltd.

Apr. 2016 Executive Managing Director of the
Company (Current)

Chief of Staff (Current)

Deputy President of Nomura
Securities Co., Ltd. (Current)

END