NewStar Financial, Inc. Form 8-K October 05, 2011

## **UNITED STATES**

## **SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

# FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of The

Securities Exchange Act of 1934

September 29, 2011

Date of Report (Date of earliest event reported)

# NewStar Financial, Inc.

(Exact name of registrant as specified in its charter)

Delaware

001-33211

54-2157878

#### Edgar Filing: NewStar Financial, Inc. - Form 8-K

(State or other jurisdiction

(Commission

(IRS Employer

**Identification No.)** 

of incorporation)

File Number) 500 Boylston Street, Suite 1250, Boston, MA 02116

(Address of principal executive offices) (Zip Code)

#### (617) 848-2500

(Registrant s telephone number, including area code)

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

" Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

" Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

" Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

" Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01 Other Events.

On September 29, 2011, the Board of Directors of NewStar Financial, Inc. authorized the repurchase of up to \$10 million of the company s common stock from time to time on the open market or in privately negotiated transactions. The timing and amount of any shares purchased will be determined by the company s management based on its evaluation of market condition and other factors. The repurchase program, which will expire on September 29, 2012 unless extended by the Board of Directors, may be suspended or discontinued at any time without notice.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### NEWSTAR FINANCIAL INC.

Date: October 5, 2011

By: /s/ JOHN KIRBY BRAY John Kirby Bray Chief Financial Officer