#### Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

#### INTERNATIONAL BUSINESS MACHINES CORP

Form 4 June 09, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

Expires:

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January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* JETTER MARTIN

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

INTERNATIONAL BUSINESS MACHINES CORP [IBM]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Check all applicable)

(Last) (First) (Middle)

(Street)

(Month/Day/Year) 06/07/2015

Director 10% Owner X\_ Officer (give title Other (specify below)

Senior Vice President

IBM CORPORATION, C/O SECRETARY'S OFFICE, NEW

ORCHARD ROAD

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ARMONK, NY 10504

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi ord Dispo (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/07/2015		M	65	A	\$ 0	306.446	I (1)	spouse
Common Stock	06/07/2015		F	24	D	\$ 167.97	282.446	I (1)	spouse
Common Stock	06/08/2015		M	68	A	\$ 0	350.446	I (1)	spouse
Common Stock	06/08/2015		M	99	A	\$ 0	449.446	I (1)	spouse
	06/08/2015		F	25	D		424.446	I (1)	spouse

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Common Stock					\$ 166.095			
Common Stock	06/08/2015	F	36	D	\$ 166.095	388.446	I (1)	spouse
Common Stock						2,040.0235	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Rst. Stock Unit	\$ 0 (2)	06/07/2015		M(3)	65	(2)	(2)	Common Stock	65	\$ 0
Rst. Stock Unit	\$ 0 (2)	06/08/2015		M(3)	68	(2)	(2)	Common Stock	68	\$ 0
Rst. Stock Unit	\$ 0 (2)	06/08/2015		M(3)	99	(2)	<u>(2)</u>	Common Stock	99	\$ 0
Rst. Stock Unit	\$ 0 (4)	06/08/2015		A(4)	519	<u>(4)</u>	<u>(4)</u>	Common Stock	519	\$ 0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Reporting Owners 2

JETTER MARTIN
IBM CORPORATION, C/O SECRETARY'S OFFICE
NEW ORCHARD ROAD
ARMONK, NY 10504

Senior Vice President

## **Signatures**

M. Clemens on behalf of M.

Jetter 06/09/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) These units were payable in cash or the company's common stock upon the lapse of restrictions on the transaction date shown.
- (3) Release of restricted stock units.
- Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 129 of these units on 06/08/2016, for 129 of these units on 06/08/2018, and for the remaining 132 of these units

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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