Levy Jordan Form 5 February 14, 2013

### FORM 5

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0362 Number:

no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box if

January 31, Expires: 2005 Estimated average

#### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per response...

1.0

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

> 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to

> > below)

1. Name and Address of Reporting Person \* Levy Jordan

Symbol

Issuer

Synacor, Inc. [SYNC]

(Check all applicable)

(First) (Middle) (Last)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)

\_X\_ Director 10% Owner Other (specify Officer (give title

12/31/2012

ONE HSBC CENTER, SUITE 3850 (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

below)

#### BUFFALO, NYÂ 14203

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(City)	(State)	Zip) Table	e I - Non-Deri	vative Sec	curitie	s Acqu	ired, Disposed o	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	l (A) o l of (D	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/12/2012	Â	J4 <u>(1)</u>	610	A	\$0	108,833	D	Â
Common Stock	06/12/2012	Â	J4 <u>(2)</u>	833	A	\$ 0	70,559	I	See footnote.
Common Stock	Â	Â	Â	Â	Â	Â	2,100	I	By Spouse.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 2270** (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 11 5 (
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director stock option (right to purchase)	\$ 2.52	Â	Â	Â	Â	Â	(4)	09/13/2017	Common Stock	30,000	
Director stock option (right to purchase)	\$ 2.88	Â	Â	Â	Â	Â	(5)	11/17/2020	Common Stock	50,000	
Director stock option (right to purchase)	\$ 3.32	Â	Â	Â	Â	Â	(6)	08/15/2021	Common Stock	17,500	
Director stock option (right to purchase)	\$ 11.14	Â	Â	Â	Â	Â	(7)	05/23/2022	Common Stock	5,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 3	Director	10% Owner	Officer	Other			
Levy Jordan ONE HSBC CENTER, SUITE 3850 BUFFALO, NY 14203	ÂX	Â	Â	Â			

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## **Signatures**

/s/ William J. Stuart, attorney-in-fact

02/14/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pro rata distribution from Chek Ventures LLC, of which the reporting person is a non-managing member.
- (2) Pro Rata distribution from Chek Ventures II LLC, of which JoRon Management LLC ("JoRon", of which the reporting person is one of two managers) is a non-managing member.
  - Held directly by JoRon. The reporting person disclaims beneficial ownership of the shares held by JoRon except to the extent of his pecuniary interest therein. In a prior report, the reporting person reported beneficial ownership of 193,547 shares of Issuer common stock held by JoRon and disclaimed beneficial ownership of the shares held by JoRon except to the extent of his pecuniary interest therein.
- (3) Subsequent to that report, (a) JoRon sold 9,677 shares of Issuer common stock in Issuer's initial public offering, (b) JoRon acquired 833 shares of Issuer common stock in the distribution by Chek Ventures II LLC reported on this line item, and (c) JoRon distributed a total of 114,144 shares of Issuer common stock to its members, 32,361 of which were distributed to the reporting person and are included in the amount of securities owned directly by the reporting person at the end of the Issuer's fiscal year.
- 100% of the option was exercisable on the date of grant. Shares purchased upon exercise of the option were subject to repurchase by the (4) Issuer until vested. 33% of the shares subject to the option vested on each of February 1, 2008 and February 1, 2009 and 34% of the shares subject to the option vested on February 1, 2010.
- 100% of the option was exercisable on the date of grant. Shares purchased upon exercise of the option are subject to repurchase by the (5) Issuer until vested. 33% of the shares subject to the option vested on each of February 1, 2011 and February 1, 2012 and 34% of the shares subject to the option vested on February 1, 2013.
- 100% of the option was exercisable on the date of grant. Shares purchased upon exercise of the option are subject to repurchase by the (6) Issuer until vested. 33% of the shares subject to the option vested on each of September 1, 2011 and September 1, 2012. 34% of the shares subject to the option will vest on September 1, 2013, subject to the reporting person's continuous service through such date.
- 100% of the option is exercisable on the date of grant. Shares purchased upon exercise of the option are subject to repurchase by the

  (7) Issuer until vested, 25% of the shares subject to the option vest on the first anniversary of the date of grant and an additional 1/48th of the shares subject to the option will vest each month thereafter, subject to the reporting person's continuous service through such dates.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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