MEDINA DANIEL A Form 4

July 05, 2012

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MEDINA DANIEL A Issuer Symbol **BROADWAY FINANCIAL CORP** (Check all applicable) \DE\ [BYFC] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 4800 WILSHIRE BOULEVARD 07/02/2012 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOS ANGELES, CA 90010 Person (City) (State) (Zip)

(City)	(State)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securition(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					(2)	11100	806 (1)	D	
Common Stock							6,110 (2)	D	
Common Stock Purcahse	07/02/2012	07/02/2012	P	19,231	A	\$ 1.3 (4)	19,231	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

#### Edgar Filing: MEDINA DANIEL A - Form 4

# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8		5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 6	01/21/2010	01/21/2010	A	V	1,250	01/21/2010(3)	01/21/2020	Common Stock	1,250
Stock Option (Right to Buy)	\$ 4						01/21/2009(3)	01/21/2019	Common Stock	1,875

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MEDINA DANIEL A
4800 WILSHIRE BOULEVARD X
LOS ANGELES, CA 90010

## **Signatures**

\s\ Daniele C. Johnson,
Attorney-as-in-fact

07/05/2012

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held directly in the name of Daniel Medina.
- (2) Held in the trust of Daniel Medina and Laura Martin.
- (3) The Board of Directors granted this Stock Option on January 21, 2009 and January 21,2010. It will renew each year on January 21 or until the Board discontinues the annual grant. This is a stock option grant that vests upon granting.

Reporting Owners 2

#### Edgar Filing: MEDINA DANIEL A - Form 4

On July 2, 2012, an investment of Capital was made in exchange for common stock. The shares are held in the name of "Martin Medina Family Trust UTD 6/30/94"

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.