Edgar Filing: NATIONAL FUEL GAS CO - Form 35-CERT

NATIONAL FUEL GAS CO Form 35-CERT August 28, 2003

Exhibit A

# UNITED STATES OF AMERICA BEFORE THE SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C.

IN THE MATTER OF NATIONAL FUEL GAS COMPANY, SENECA INDEPENDENCE PIPELINE COMPANY, NIAGARA INDEPENDENCE MARKETING COMPANY, ET AL. FILE NO. 70-9117 (Public Utility Holding Company Act of 1935)

104(b)).

22nd CERTIFICATE
PURSUANT TO RULE 24

This is to certify, pursuant to Rule 24 under the Public Utility Holding Company Act of 1935, as amended, that the transactions referred to below have been carried out in accordance with the terms and conditions of and for the purpose represented by the Declarations of National Fuel Gas Company ( National ), Seneca Independence Pipeline Company ( Seneca ), and Niagara Independence Marketing Company ( Niagara ), et al., as amended, in the above file and pursuant to the Order, dated March 13, 1998, of the Securities and Exchange Commission with respect thereto ( Order ).

The following exhibits are attached to and made a part of this Certificate:

	Requested Pursuant to Rule 104(b)).
Exhibit B	Balance Sheet of Seneca as of June 30, 2003 (Confidential Treatment Requested Pursuant to Rule 104(b)).
Exhibit C	Income Statement of Niagara for the quarter ended June 30, 2003 (Confidential Treatment Requested Pursuant to Rule 104(b)).
Exhibit D	Balance Sheet of Niagara as of June 30, 2003 (Confidential Treatment Requested Pursuant to Rule 104(b)).
Exhibit E	Seneca s Allocated Share of the Profits/Losses of Independence Pipeline Company for the quarter ended June 30, 2003 (Confidential Treatment Requested Pursuant to Rule 104(b)).
Exhibit F	Niagara s Allocated Share of the Profits/Losses of DirectLink Gas Marketing Company for the quarter ended June 30, 2003 (Confidential Treatment Requested Pursuant to Rule

Income Statement of Seneca for the quarter ended June 30, 2003 (Confidential Treatment

IN WITNESS WHEREOF, the undersigned companies have caused this Certificate to be executed as of this 26th day of August, 2003.

NATIONAL FUEL GAS COMPANY

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By: /s/ J. R. Peterson J. R. Peterson Assistant Secretary

#### SENECA INDEPENDENCE PIPELINE COMPANY

By: /s/ W. E. DeForest W. E. DeForest

President, Secretary and Treasurer

#### NIAGARA INDEPENDENCE PIPELINE COMPANY

(Zip)

By: /s/ D. P. Butler
D. P. Butler
Secretary

;15TH FLOOR 3. Date of Earliest Transaction (Month/Day/Year)  $06/01/2011\,$ 

(Street)

#### BETHESDA, MD 20814 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

\_\_\_ Form filed by More than One Reporting Person
(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	, , ,		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares			Code V	Amount	(D)	Price	3,002	Ι	Wife's IRA
Series B Preferred Stock							2,000	I	Wifes- Trust
Common Shares							51,116	D (5)	
Series B Preferred Stock							250	I	Wife's IRA
Series B Preferred Stock							250	I (3)	Self-Trust

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Series A Preferred Stock						250	D (6)	
Common Shares						22,164	I	Wifes-Trust
Common Shares						60,187	I (3)	Self-Trust
Series B Preferred Stock	06/01/2011	A	1,500	A	\$ 26.63	11,800	D (5)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and 2. Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock (2)	\$ 43.81 (1)					<u>(4)</u>	<u>(4)</u>	Common Stock	19,311.499
Stock Option	\$ 40.35					05/01/2006	05/06/2016	Common Stock	2,500
Stock Option	\$ 54.17					04/27/2007	04/27/2017	Common Stock	2,500
Stock Option	\$ 50.15					04/25/2008	04/25/2018	Common Stock	2,500
Stock Option	\$ 38.76					05/07/2010	05/07/2020	Common Stock	2,500
Stock Option	\$ 41.82					05/13/2011	05/13/2021	Common Stock	2,500

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CARACI PHILIP D 7501 WISCONSIN AVENUE 15TH FLOOR BETHESDA, MD 20814

# **Signatures**

Scott V. Schneider, by Power of Attorney

06/02/2011

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

X

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** 1 for 1

Pursuant to the issuer's Deferred Compensation Plan under its 2004 Stock Plan and the Deferred Fee Agreement executed by the reporting person, the reporting person has elected to defer receipt of his director's fees, and receive phantom stock, the amount of which is

- (2) calculated as the quotient of the dollar value of fees deferred, divided by the fair market value of the issuer's shares on the date the phantom stock is received.
- (3) Self-Trust. Reporting person is a Trustee
- (4) The conversion of phantom stock into shares of the issuer's common stock is governed pursuant to terms of the issuer's Deferred Compensation Plan under its 2004 Stock Plan, as amended, and the reporting person's Deferred Fee Agreement.
- (5) Self-IRA
- (6) Self-Keogh

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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