ORTHODONTIC CENTERS OF AMERICA INC /DE/

Form 4

October 16, 2002

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of

OMB APPROVAL

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1940 6. Relationship of Reporting 1. Name and Address of Reporting 2. Issuer Name 4. Statement for Person' and Ticker or Trading Symbol Month/Day/Year Person(s) to Issuer Tryforos, Thomas N. (Check all applicable) **ORTHODONTIC CENTERS** 10/14/02 _ Director X 10% Owner OF AMERICA, INC. (Last) (First) **OCA** Officer (give title below) X Other (Middle) (specify below) 323 Railroad Avenue 5. If Amendment, 3. I.R.S. Identification Date of Original Description Member of Number of Reporting (Street) (Month/Day/Year) Section 13(d) Group Person, if an entity Greenwich, CT 06830 (voluntary) 7. Individual or Joint/Group (State) (City) Filing (Check Applicable Line) (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securit n(A) or Dis (Instr. 3	posed	Of (D)	5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock, \$.01 par value	10/14/02		Р		100,000	A	\$8.13	2,171,500	I	(1) By Idoya Partners	
Common Stock, \$.01 par value	10/14/02		Р		94,000	А	\$8.13	2,053,500	ı	(2) By Prescott Associates	
Common Stock, \$.01 par value	10/14/02		Р		6,000	А	\$8.13	124,200	I	(3) By Prescott International Partners	

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												Beneficially C securities)	Owned		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transa Code (Inst		of De (A) (A) (Dis Of (D)	rivat Secu quire or spos (Inst	and ive Ex inDiess ed (M	rcisab xpirati e(ED)	Un D S ecui	int of derlying rities str. 3 and	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	10. Owner- ship Form of Deriv- ative Securities: Direct (D) or Indirect (I)	11. N In B O (II
				Code	V	A	D	DE	ED	Title	Amount or Number of Shares				

Explanation of Responses:

- 1) These shares are owned directly by Idoya Partners ("IP"), a member of a Section 13(d) Reporting Group, and indirectly by the Reporting Person as a general partner of IP. The Reporting Person disclaims beneficial ownership of these shares in excess of his interest under 16a-1(a)(2)(ii)(B).
- (2) These shares are owned directly by Prescott Associates ("PA"), a member of a Section 13(d) Reporting Group, and indirectly by the Reporting Person as a general partner of PA. The Reporting Person disclaims beneficial ownership of these shares in excess of his interest under 16a-1(a)(2)(ii)(B).
- (3) These shares are owned directly by Prescott International Partners ("PIP"), a member of a Section 13(d) Reporting Group, and indirectly by the Reporting Person as a general partner of PIP. The Reporting Person disclaims beneficial ownership of these shares in excess of his interest under 16a-1(a)(2)(ii)(B).

/s/ Thomas N. Tryforos

10/16/02

** Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.