

EDWARDS JAMES R

Form 4

October 03, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
EDWARDS JAMES R

(Last) (First) (Middle)

9333 BALBOA AVENUE

(Street)

SAN DIEGO, CA 92123

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CUBIC CORP /DE/ [CUB]

3. Date of Earliest Transaction
(Month/Day/Year)
10/01/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

SVP, Gen. Counsel & Secretary

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	10/01/2018		M	(A) or (D) Amount 3,263 (1)	Price 7,583	D	
Common Stock	10/01/2018		F	(A) or (D) Amount 1,583 (2)	\$ 72.29 6,000	D	
Common Stock					5,367	I	Edwards Family Trust DTD 8/19/96 (3)
Common Stock					350.3835	I	Cubic 401(k) (4)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	10/01/2018		M		779		<u>(5)</u>	<u>(5)</u>	Common Stock	779	<u>(1)</u>
Restricted Stock Units	<u>(1)</u>	10/01/2018		M		820		<u>(6)</u>	<u>(6)</u>	Common Stock	820	<u>(1)</u>
Restricted Stock Units	<u>(1)</u>	10/01/2018		M		951		<u>(7)</u>	<u>(7)</u>	Common Stock	951	<u>(1)</u>
Restricted Stock Units	<u>(1)</u>	10/01/2018		M		713		<u>(8)</u>	<u>(8)</u>	Common Stock	713	<u>(1)</u>

Reporting Owners

Reporting Owner Name / Address

Relationships

EDWARDS JAMES R
9333 BALBOA AVENUE
SAN DIEGO, CA 92123

Director 10% Owner Officer Other

SVP, Gen. Counsel & Secretary

Signatures

Claudia M. Strup, Attorney-in-fact for James R.
Edwards

10/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the issuance of CUB common stock upon vesting of restricted stock units ("RSUs"). Each RSU granted at that time represented a contingent right to receive one share of CUB stock.
- (2) Shares withheld by CUB to satisfy the minimum statutory tax withholding obligations that arose upon the vesting of the RSUs.
- (3) The James R. Edwards and Joan M. Edwards Family Trust UA Aug. 19, 1996, for which the Reporting Person is a co-trustee with his spouse.
- (4) Represents shares held by the Reporting Person's 401(k).
- (5) This is the fourth and final of 4 vesting installments of RSU's granted to the Reporting Person on November 6, 2014.
- This is the third of 4 vesting installments of RSUs granted to the Reporting Person on November 6, 2015. The remaining 819 shares from
- (6) such grant shall vest in 1 final installment on October 1, 2019, subject to the Reporting Person's continuous service through such application vesting date.
- This is the second of 4 vesting installments of RSUs granted to the Reporting Person on November 14, 2016. The remaining 1899 shares
- (7) from such grant shall vest in 2 equal installments on October 1, 2019 and 2020, subject to the Reporting Person's continuous service through each application vesting date.
- This is the first of 4 vesting installments of RSUs granted to the Reporting Person on November 27, 2017. The remaining 2138 shares
- (8) from such grant shall vest in 3 equal installments on October 1, 2019, 2020 and 2021, subject to the Reporting Person's continuous service through each application vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.