#### CASELLA WASTE SYSTEMS INC

Form 4/A April 30, 2015

### FORM 4

### **OMB APPROVAL**

3235-0287

January 31,

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: Expires:

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CASELLA JOHN W

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

CASELLA WASTE SYSTEMS INC

(Check all applicable)

[CWST]

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

(Middle)

(Zip)

02/24/2015

below) Chief Executive Officer

C/O CASELLA WASTE SYSTEMS, 25 GREENS HILL LANE

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

02/26/2015

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

RUTLAND, VT 05701

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Securities Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Class A Common

1.Title of

Security

(Instr. 3)

02/24/2015

(Month/Day/Year)

87,600 A (1)

\$0 498,389 <sup>(2) (3)</sup> D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 an	3 and 4)	4)	Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
					(A) (D)		Expiration Date	or Title Number of			
				C 1 W							
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address Other Director 10% Owner Officer

CASELLA JOHN W C/O CASELLA WASTE SYSTEMS 25 GREENS HILL LANE RUTLAND, VT 05701

Chief Executive Officer X

## **Signatures**

/s/ John W. 04/30/2015 Casella

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the Restricted Stock Unit (RSU) award granted on February 24, 2015, and previously reported on February 26, 2015. Each (1) RSU represents a contingent right to receive one share of Casella's Class A Common Stock. RSU's vest in three equal installments beginning on February 24, 2016.
  - This amendment is being filed solely to correct the number of shares of Class A Common Stock directly beneficially owned. The number of shares of Class A Common Stock directly beneficially owned shown in Table I, column 5 of the Form 4 filed on February 26, 2015
- (the "Original Form 4") inadvertently omitted 95,052 shares of Class A Common Stock. The number of shares of Class A Common Stock directly beneficially owned as shown in Table I, column 5 of this amendment represents the correct number of shares of Class A common stock directly beneficially owned by Mr. Casella following the transaction previously reported in the Original Form 4.
  - 91,940 shares omitted in the Table I, column 5 direct beneficial ownership total of the Original Form 4 were also incorrectly omitted from the direct beneficial ownership total reported, if applicable, in the Form 4s filed by Mr. Casella from December 17, 2009 through July 7,
- (3) 2014 and the Form 5 filed by Mr. Casella on June 13, 2014 (the "Form 5"). An additional 3,112 shares omitted in the Table I, column 5 direct beneficial ownership total of the Original Form 4 were also incorrectly omitted from the direct beneficial ownership total reported, if applicable, in the Form 4s filed by Mr. Casella from December 17, 2013 through July 7, 2014 and the Form 5.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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