

ABIOMED INC  
Form 4  
August 16, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PUHY DOROTHY E

(Last) (First) (Middle)

C/O ABIOMED, INC., 22 CHERRY  
HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
ABIOMED INC [ABMD]

3. Date of Earliest Transaction  
(Month/Day/Year)

08/14/2013

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify  
below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock,<br>\$0.01 par<br>value | 08/14/2013                              |                                                             | A                                       | 7,740<br>(4)                                                               | A \$ 0 54,060                                                                                                      | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

# Edgar Filing: ABIOMED INC - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. De<br>Se<br>(In                  |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date Exercisable<br>Expiration<br>Date                         | Title                                                               | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(right to<br>buy) <sup>(2)</sup> | \$ 10.41                                                           |                                         |                                                             |                                      |                                                                                                                    | 08/11/2005 <sup>(1)</sup> 08/11/2014                           | Common<br>Stock                                                     | 8,000                               |
| Stock<br>Option<br>(right to<br>buy) <sup>(2)</sup> | \$ 10.06                                                           |                                         |                                                             |                                      |                                                                                                                    | 08/09/2006 <sup>(1)</sup> 08/10/2015                           | Common<br>Stock                                                     | 8,000                               |
| Stock<br>Option<br>(right to<br>buy) <sup>(2)</sup> | \$ 13.21                                                           |                                         |                                                             |                                      |                                                                                                                    | 08/08/2007 <sup>(1)</sup> 08/09/2016                           | Common<br>Stock                                                     | 8,000                               |
| Stock<br>option<br>(right to<br>buy) <sup>(2)</sup> | \$ 12.69                                                           |                                         |                                                             |                                      |                                                                                                                    | 08/13/2008 <sup>(1)</sup> 08/08/2017                           | Common<br>Stock                                                     | 8,000                               |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 18.63                                                           |                                         |                                                             |                                      |                                                                                                                    | 08/12/2009 <sup>(1)</sup> 08/13/2018                           | Common<br>Stock                                                     | 13,500                              |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 7.67                                                            |                                         |                                                             |                                      |                                                                                                                    | 08/11/2010 <sup>(1)</sup> 08/12/2019                           | Common<br>Stock                                                     | 13,500                              |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 9.99                                                            |                                         |                                                             |                                      |                                                                                                                    | 08/10/2011 <sup>(1)</sup> 08/11/2020                           | Common<br>Stock                                                     | 13,500                              |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

PUHY DOROTHY E  
C/O ABIOMED, INC.  
22 CHERRY HILL DRIVE  
DANVERS, MA 01923

X

## Signatures

/s/ Stephen C. McEvoy (by power of attorney)

08/16/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option becomes exerciseable in full on the date set forth in Table II, Column 6.

(2) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2000 Stock Incentive Plan.

(3) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.

Represents the grant of a performance share award for restricted stock units pursuant to which one third of the shares will be issued to the  
(4) reporting person on August 14, 2014, August 14, 2015, and August 14, 2016, so long as she continues to be an active director of the issuer on each of the vesting dates

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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