

CHASE PETER R
Form 4
April 24, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHASE PETER R

(Last) (First) (Middle)

26 SUMMER STREET

(Street)

BRIDGEWATER, MA 02324

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CHASE CORP [CCF]

3. Date of Earliest Transaction
(Month/Day/Year)
04/23/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Chase Corporation Common Stock					695,394	D	
Chase Corporation Common Stock					254,759 ⁽¹⁾	I	Peter R. Chase 2012 Annuity Trust
Chase Corporation Common					150,323 ⁽²⁾	I	Peter R. Chase 2011

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Stock									Annuity Trust
Chase Corporation Common Stock	04/23/2013	S	2,500 (5)	D	\$ 18.52	64,345 (3)	I		Peter R. Chase Insurance Trust
Chase Corporation Common Stock	04/24/2013	S	2,000 (5)	D	\$ 19	62,345 (3)	I		Peter R. Chase Insurance Trust
Chase Corporation Common Stock	04/23/2013	S	1,000 (6)	D	\$ 18.64	20,750 (4)	I		Kristin Chase Ferioli 2012 Trust
Chase Corporation Common Stock	04/24/2013	S	2,000 (6)	D	\$ 19	18,750 (4)	I		Kristin Chase Ferioli 2012 Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

CHASE PETER R
26 SUMMER STREET
BRIDGEWATER, MA 02324

X

X

Chairman and CEO

Signatures

Paula Myers by power of
attorney

04/24/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents shares held by the Peter R. Chase 2012 qualified Annuity Trust, a grantor retained annuity trust.
- (2) Represents shares held by the Peter R. Chase 2011 qualified Annuity Trust, a grantor retained annuity trust.
- (3) Represents shares held by the Peter R. Chase Insurance Trust.
- (4) Reflects shares held in trust for the benefit of the reporting person's daughter, of which the reporting person's spouse is trustee.
- (5) Reflects shares sold pursuant to a trading plan that was adopted on November 27, 2012 complying with rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (6) Reflects shares sold pursuant to a trading plan that was adopted on February 25, 2013 complying with rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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