

AECOM TECHNOLOGY CORP

Form 4

May 09, 2011

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
NEWMAN RICHARD G

(Last) (First) (Middle)

C/O AECOM TECHNOLOGY
CORPORATION, 555 S. FLOWER
STREET, SUITE 3700

(Street)

LOS ANGELES, CA 90071

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AECOM TECHNOLOGY CORP
[ACM]

3. Date of Earliest Transaction
(Month/Day/Year)
05/05/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/05/2011		S ⁽¹⁾		100	D	\$ 28.035	179,731	I	by C&R Newman Family Foundation
Common Stock	05/05/2011		S ⁽¹⁾		700	D	\$ 28.03	179,031	I	by C&R Newman Family Foundation
Common Stock	05/05/2011		S ⁽¹⁾		4,300	D	\$ 28.02	174,731	I	by C&R Newman

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Common Stock	05/05/2011	S ⁽¹⁾	500	D	\$ 28.015	174,231	I	Family Foundation by C&R Newman Family Foundation
Common Stock	05/05/2011	S ⁽¹⁾	5,000	D	\$ 28.01	169,231	I	by C&R Newman Family Foundation
Common Stock	05/05/2011	S ⁽¹⁾	500	D	\$ 28.005	168,731	I	by C&R Newman Family Foundation
Common Stock	05/05/2011	S ⁽¹⁾	23,800	D	\$ 28	144,931	I	by C&R Newman Family Foundation
Common Stock						57,065	I	by R&C Newman Partnership LP
Common Stock						171,531	I	by R&C Newman Revocable Trust
Common Stock						243,362	I	Christine H Newman TTEE U/A DTD 12/15/2010 Christine H Newman 2010 GRAT
Common Stock						243,362	I	Richard G Newman TTEE U/A DTD 12/15/2010 Richard G Newman 2010 GRAT
Common Stock						697,078	I	by Merrill Lynch under AECOM

Retirement
& Savings
Plan (RSP)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option	\$ 23.94					<u>(2)</u>	12/01/2015	Common Stock	49,141	
Employee Stock Option	\$ 24.45					<u>(3)</u>	12/02/2016	Common Stock	15,989	
Employee Stock Option	\$ 25.38					03/31/2011	05/28/2017	Common Stock	14,558	
Employee Stock Option	\$ 28.44					03/03/2012	03/03/2018	Common Stock	13,416	
Restricted Stock Unit	<u>(4)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	3,750	
	<u>(4)</u>					(6)	(6)		4,572	

Restricted
Stock Unit

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NEWMAN RICHARD G C/O AECOM TECHNOLOGY CORPORATION 555 S. FLOWER STREET, SUITE 3700 LOS ANGELES, CA 90071	X			

Signatures

/s/ David Y. Gan, Attorney-in-Fact for Richard G.
Newman

05/09/2011

____Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales in this Form 4 were made pursuant to a 10b5-1 trading plan adopted on February 11, 2011.
- (2) The option vests in three equal annual installments beginning on December 1, 2009.
- (3) The option vests in three equal annual installments beginning on December 2, 2010.
- (4) Each restricted stock unit represents a contingent right to receive one share of AECOM common stock.
- (5) The restricted stock units vest in three equal annual installments beginning December 2010.
- (6) The restricted stock units vest on March 3, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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