## Edgar Filing: CME GROUP INC. - Form 4

| CME GROU<br>Form 4<br>September 1<br>FORN   | 6, 2014  | STATES  |  |  |  |                          | NGE C       | OMMISSION   | OMB AF<br>OMB<br>Number:  | PPROVAL<br>3235-0287  |  |  |
|---|--|---|--|--|--|--------------------------|-------------|---|---|---|--|--|
| Check th<br>if no lon<br>subject to<br>Section 7<br>Form 4 of<br>Form 5<br>obligation<br>may con<br><i>See</i> Instr<br>1(b). | ger<br>o<br>16.<br>or<br>Filed pur<br><sup>nns</sup><br>tinue. | <ul> <li>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br/>SECURITIES</li> <li>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br/>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section</li> <li>20(b) of the Investment Company Act of 1040</li> </ul> |  |  |  |                          |             |   |   |   |  |  |
| (Print or Type  | Responses)   |   |  |  |  |                          |             |   |   |   |  |  |
| GILL PHUPINDER Symbol   |  |   |  | or Name and Ticker or Trading            |  |                          |             | 5. Relationship of Reporting Person(s) to<br>Issuer   |   |   |  |  |
| (Last) (First) (Middle) 3. Date of  |  |   |  | of Earliest Transaction<br>Day/Year)     |  |                          |             | (Check all applicable)  |   |   |  |  |
|   |  |   |  |  |  |                          |             | _X_ Director10% Owner<br>_X_ Officer (give titleOther (specify<br>below) below)<br>CEO                  |   |   |  |  |
|   |  |   |  | endment, Date Original<br>onth/Day/Year) |  |                          |             | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |   |  |  |
| CHICAGO, IL 60606 — Form filed by More than One Reporting Person  |  |   |  |  |  |                          |             |   | porting   |   |  |  |
| (City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>                  |  |   |  |  |  |                          |             |   | ly Owned  |   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | urity (Month/Day/Year) Execution Date, if                      |   |  |  | 4. Securit<br>on(A) or Dia<br>(Instr. 3, 4 | sposed<br>4 and 3<br>(A) | l of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)          | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| G   |  |   |  | Code V                                   | Amount                                     | or<br>(D)                | Price       | (Instr. 3 and 4)  |   |   |  |  |
| Common<br>Stock<br>Class A  | 09/14/2014   |   |  | F  | 1,557<br>(1)                               | D                        | \$<br>79.33 | 115,544   | D   |   |  |  |
| Common<br>Stock<br>Class A  | 09/15/2014   |   |  | F  | 2,781<br>(2)                               | D                        | \$<br>79.82 | 112,763   | D   |   |  |  |
| Common<br>Stock<br>Class A  | 09/15/2014   |   |  | А  | 12,528                                     | А                        | \$ 0        | 125,291   | D   |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|---|--|---|---|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                            | Relationships      |  |         |            |  |  |  |  |
|---|--------------------|--|---------|------------|--|--|--|--|
| 1 8   | Director 10% Owner |  | Officer | Other      |  |  |  |  |
| GILL PHUPINDER<br>20 S. WACKER DRIVE<br>CHICAGO, IL 60606 | Х                  |  | CEO     |            |  |  |  |  |
| Signatures  |                    |  |         |            |  |  |  |  |
| By: Margaret Austin Wright For: Phupinder<br>S Gill       |                    |  |         | 09/16/2014 |  |  |  |  |

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1)  $\frac{Mr. Gill surrendered shares to the Company in order to fulfill tax withholding obligations upon the vesting of restricted stock on <math>\frac{9}{14}$
- (2) Mr. Gill surrendered shares to the Company in order to fulfill tax withholding obligations upon the vesting of restricted stock on 9/15/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.