Edgar Filing: P&F INDUSTRIES INC - Form 4

P&F INDUS	TRIES INC											
Form 4												
June 09, 2014	4											
FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB AF	OMB APPROVAL		
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check thi									Expires:	January 31,		
if no long subject to	NIA I H	EMENT O	F CHAN	GES IN BENEFICIAL OWN				NERSHIP OF	·	Estimated average		
Section 16.				SECURITIES					burden hou	•		
Form 4 or Form 5			~ • •		~	-			response 0.5			
obligatior	1 0			. ,			U	e Act of 1934,				
may conti				-	-			1935 or Section	n			
See Instru	iction	50(II)	of the In	vestment	Company	y Act	01 194	Ю				
1(b).												
(Print or Type R	Responses)											
1 Name and A	ddress of Reportir	ng Person *	2 Iaguar	Nome and	Tielsen on T	Fundin	~	5 Relationship of	Reporting Pers	son(s) to		
	Z RICHARD A	-	Symbol	Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
Symbol				DUSTRIES INC [PFIN]								
(Last)	(First)	(Middle)		Earliest Tra	-		1	(Chec	k all applicable	2)		
(Last)	(Pirst)	(winduie)	(Month/D		ansaction			X Director	_X_ 10%	Owner		
C/O P&F IN	DUSTRIES, I	NC., 445	06/05/20	-				X Officer (give	title Othe	er (specify		
BROADHOLLOW ROAD, SUITE								below) below) Principal Executive Officer				
100								Tincipa		ICCI		
(Street) 4. If Ame			ndment, Date Original			6. Individual or Joint/Group Filing(Check						
				nth/Day/Year)				Applicable Line)				
`` ` ```							X Form filed by One Reporting Person Form filed by More than One Reporting					
MELVILLE	, NY 11747							Person	tore than One Re	porting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction D	ate 2A. Dee	med	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Yea	on Date, if	Transactic Code	on(A) or Dis	-		Securities	Form: Direct				
(Instr. 3)		any (Month/Day/Year)			(Instr. 3, 4	and t))	Beneficially Owned		Beneficial Ownership		
		(ivionuli)	Day Tear)	(Instr. 8)				Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported				
						or		Transaction(s) (Instr. 3 and 4)				
				Code V	Amount	(D)	Price	(msu. 5 anu 4)				
Class A	06/05/2014			M	75 100		\$	1 174 (20	D			
Common	06/05/2014			М	75,188	Α	8.06	1,174,629	D			
Stock												

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 8.06	06/05/2014		М		75,188	07/09/2004	07/09/2014	Class A Common Stock	75,188

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
HOROWITZ RICHARD A C/O P&F INDUSTRIES, INC. 445 BROADHOLLOW ROAD, SUIT MELVILLE, NY 11747	т <u>е 100</u> Х	Х	Principal Executive Officer					
Signatures								
/s/ Richard A. 06/09/2 Horowitz	014							

**Signature of Reporting

Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.