#### SCHWAB CHARLES R

Form 4/A July 28, 2005

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SCHWAB CHARLES R Issuer Symbol SCHWAB CHARLES CORP [SCH] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title C/O THE CHARLES SCHWAB 07/26/2005 below) CORPORATION, 120 KEARNY Chairman and CEO **STREET** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 07/28/2005

SAN FRANCISCO, CA 94108

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Reneficially Owned

` •	` '	1 ab	ie 1 - Non-1	Jerivative S	ecurii	ies Acqui	rea, Disposea oi,	or Benefician	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities op Disposed (Instr. 3, 4	d of (D	))	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	(IIISU. 4)
Common Stock	07/26/2005		M	281,250	A	\$ 3.8	14,638,745	D	
Common Stock	07/26/2005		S	1,500	D	\$ 13.35	14,637,245	D	
Common Stock	07/26/2005		S	3,300	D	\$ 13.36	14,633,945	D	
Common Stock	07/26/2005		S	1,800	D	\$ 13.37	14,632,145	D	
Common Stock	07/26/2005		S	4,800	D	\$ 13.39	14,627,345	D	

Common Stock	07/26/2005	S	2,200	D	\$ 13.4	14,625,145	D
Common Stock	07/26/2005	S	2,500	D	\$ 13.41	14,622,645	D
Common Stock	07/26/2005	S	1,300	D	\$ 13.42	14,621,345	D
Common Stock	07/26/2005	S	7,600	D	\$ 13.43	14,613,745	D
Common Stock	07/26/2005	S	7,000	D	\$ 13.44	14,606,745	D
Common Stock	07/26/2005	S	5,200	D	\$ 13.45	14,601,545	D
Common Stock	07/26/2005	S	9,000	D	\$ 13.46	14,592,545	D
Common Stock	07/26/2005	S	8,000	D	\$ 13.47	14,584,545	D
Common Stock	07/26/2005	S	8,100	D	\$ 13.48	14,576,445	D
Common Stock	07/26/2005	S	3,400	D	\$ 13.49	14,573,045	D
Common Stock	07/26/2005	S	6,000	D	\$ 13.5	14,567,045	D
Common Stock	07/26/2005	S	6,100	D	\$ 13.51	14,560,945	D
Common Stock	07/26/2005	S	12,300	D	\$ 13.52	14,548,645	D
Common Stock	07/26/2005	S	9,500	D	\$ 13.53	14,539,145	D
Common Stock	07/26/2005	S	6,100	D	\$ 13.54	14,533,045	D
Common Stock	07/26/2005	S	10,600	D	\$ 13.55	14,522,445	D
Common Stock	07/26/2005	S	3,800	D	\$ 13.56	14,518,645	D
Common Stock	07/26/2005	S	12,000	D	\$ 13.57	14,506,645	D
Common Stock	07/26/2005	S	11,900	D	\$ 13.58	14,494,745	D
Common Stock	07/26/2005	S	5,000	D	\$ 13.59	14,489,745	D
	07/26/2005	S	7,900	D	\$ 13.6	14,481,845	D

Common Stock							
Common Stock	07/26/2005	S	12,300	D	\$ 13.61	14,469,545	D
Common Stock	07/26/2005	S	1,800	D	\$ 13.62	14,467,745	D
Common Stock	07/26/2005	S	4,700	D	\$ 13.63	14,463,045	D
Common Stock	07/26/2005	S	5,200	D	\$ 13.64	14,457,845 (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)					7. Title and Am Underlying Sect (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N Sl
Non-Qualified Stock Option	\$ 3.8	07/26/2005		M	2	281,250	10/17/1996	10/17/2005	Common Stock	2

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
SCHWAB CHARLES R						
C/O THE CHARLES SCHWAB CORPORATION	X	X	Chairman			
120 KEARNY STREET	Λ	Λ	and CEO			
SAN FRANCISCO, CA 94108						

# **Signatures**

Jane E. Fry, Attorney-in-fact	07/28/2005		
**Signature of Reporting Person	Date		

Reporting Owners 3

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of the date of this report, the reporting person also had an indirect beneficial ownership interest in 165,765,086 shares held in trust, 44,803,958 shares held by an LLC, 7,977,765 shares held by his spouse and 1,709,657 shares held by an ESOP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. s New Roman" SIZE="2">Phase I Starts

Summary of MN-001 Protocol:

Study Design A randomized, double-blind, placebo-controlled study evaluating the effects in patients with mild to moderate

asthma.

Inclusion Criteria Mild to moderate asthma: Forced Expiratory Volume in 1 second (FEV1) > 65%;

Increase in FEV1 of at least 12% with bronchodilator use over baseline FEV1;

Methacholine challenge test with a PC20≤ 8mg/ml.

Dose Regimen Placebo, 500mg (TID), 750mg (BID), and 750mg (QD) for 4 weeks of treatment.

Enrollment Targets 120 completes with at least 30 subjects per arm.

Efficacy Evaluation - Primary

Endpoint

Change from baseline FEV1 after 4 weeks of treatment.

Efficacy Evaluation - Secondary Endpoint

Change in morning and evening peak flow rates, symptoms, and adverse effects.

Method of Analysis

 $Primary\ comparison\ will\ be\ between\ each\ MN-001\ group\ and\ placebo.\ All\ other\ pairwise\ comparisons\ will\ be$ 

performed as secondary analyses.

The information in this Form 8-K is being furnished and shall not be deemed filed for the purposes of Section 18 of the Securities Exchange Act of 1934, or otherwise subject to the liability of that section. The information in this Form 8-K shall not be incorporated by reference into any registration statement or filing of the Company, except as shall be expressly set forth by specific reference in such a filing.

This report contains forward looking statements within the meaning of the Private Securities Litigation Reform Act of 1995. These statements include statements regarding the expected progress of the development of the Company's product candidates. These statements are based on certain assumptions made by the Company's management that are believed to be reasonable at the time. Such statements are subject to a number of assumptions, risks and uncertainties, many of which are beyond the control of the Company, including results of clinical studies and other risks and uncertainties, including those described in the Company's filings with the Securities and Exchange Commission. These assumptions, risks and uncertainties could cause the Company's actual results to differ materially from those implied or expressed by the forward-looking statements.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: August 19, 2005 MEDICINOVA, INC.

By: /s/ Takashi Kiyoizumi

Takashi Kiyoizumi, M.D., Ph.D. President and Chief Executive Officer