Edgar Filing: Bankwell Financial Group, Inc. - Form 4

Bankwell Financial Group, Inc. Form 4

March 02, 201	6											
FORM	4								OMB APPROVAL			
	UNITED									3235-0287		
Check this be if no longer subject to Section 16. Form 4 or Form 5	STATEN									January 31, 2005 Iverage rs per 0.5		
obligations may contine <i>See</i> Instruct 1(b).	Bection 17(a) of the H	Public U		ding Cor	npany A	Act of 1	935 or Section	I			
(Print or Type Res	sponses)											
Gruseke Christopher R Symbo Bank			2. Issue Symbol	Ic				5. Relationship of Reporting Person(s) to Issuer				
			Bankwell Financial Group, Inc. [BWFG]				(Check all applicable)					
	(First) (1 ELL FINANC ., 220 ELM ST		3. Date o (Month/I 02/16/2	•	ansaction		- - b	Director _X Officer (give below) Prest		Owner er (specify		
			(Month/Day/Year) A			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
NEW CANA	AN, CT 06840						-	Form filed by Meerson				
(City)	(State)	(Zip)	Tab	le I - Non-E	Derivative	Securiti	es Acqui	red, Disposed of,	or Beneficial	ly Owned		
	Transaction Date /onth/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	(Instr. 3, 4	ed of (D) 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Common Stock (1) Common Stock (2) Common \$ 19.4747 А 02/16/2016 Р 131

Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form

30,000

75,663

131

D

D

D

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	7. Title ar Amount o Underlyir Securities (Instr. 3 a	of ng s	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secut Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Gruseke Christopher R C/O BANKWELL FINANCIAL GROUP, IN 220 ELM STREET NEW CANAAN, CT 06840	IC.		President & CEO					
Signatures								
/s/ Christopher R. Gruseke 03/0	02/2016							

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 40,000 shares of restricted stock granted pursuant to the 2012 Bankwell Financial Group, Inc. Stock Plan and will vest in four equal
 (1) installments of 25%, with the first installment to vest on December 1, 2015 and an additional 25% to vest on the anniversary of the vesting date thereafter. 10,000 shares have vested as of the date of this filing.
- (2) 60,663 Shares reported are owned jointly with Spouse.
- (3) All Shares held jointly with Spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.