Edgar Filing: Cashen Susan - Form 4

| Cashen Susa | in | | | | | | | | | | |
|---|--------------------------|--|---|--|-------------------|--------------------|--|---|--------------------------|--|--|
| Form 4 | 2019 | | | | | | | | | | |
| February 20, | | | | | | | | | OMB AF | PROVAL | |
| FORM | UNITED | STATES SI | | | ND EX(D.C. 20 | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Check th if no long subject to Section 1 | ger STATEM 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Expires: January 31 2009 Estimated average burden hours per | |
| Form 4 or Form 5 obligations may continue.responseSee Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | 0.5 | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| | | | 2. Issuer Name and Ticker or Trading Symbol CONTROL4 CORP [CTRL] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (N | Iiddle) 3. | 3. Date of Earliest Transaction | | | | | (Check | eck all applicable) | | |
| C/O CONTROL4 CORPORATION, 11734 S. ELECTION ROAD | | | (Month/Day/Year) 02/20/2018 | | | | | Director 10% Owner X_ Officer (give title Other (specify below) SVP of Marketing | | | |
| | | | | mendment, Date Original Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Tabla I | Non T |)orivotivo (| Soouri | itios A ca | | or Bonoficial | ly Ownod | |
| 1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Y1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year) | | Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | | | quired l of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | | |
| | | | C | Code V | Amount | or (D) | Price \$ | (Instr. 3 and 4) | | | |
| Common Stock | 02/20/2018(1) | | | S | 15,582 | D | ⁽⁴⁾ 24.98 | 0 | D | | |
| Common Stock | | | | | | | | 482 | Ι | By 401(k) Plan (3) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and int of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-----------------------|---|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Cashen Susan C/O CONTROL4 CORPORATION 11734 S. ELECTION ROAD SALT LAKE CITY, UT 84020 | | | SVP of Marketing | | | | | |
| Signatures | | | | | | | | |
| /s/ Greg Bishop, Attorney in fact for Cashen | Susan | 02 | 2/20/2018 | | | | | |
| **Signature of Reporting Person | | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic sale pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 27, 2017.

This price represents the weighted average sale price for various open-market sales through a broker-dealer ranging from \$24.71 per share (2) to \$25.31 per share. Full information regarding the number of shares sold at each price will be provided upon request from the SEC, the Issuer or a security holder.

(3) Based on the Reporting Person's most recent 401(k) Plan statement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. bruary 23, 2011.

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