Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

PRUDENTIAL FINANCIAL INC

Form 4 April 02, 2003 SEC Form 4

FORM 4	UNITED STATES	OMB APPROVAL							
[] Check this box if no longer subject to Section 16. Form 4	v								
or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	ions may continue. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP					OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden			
(Print or Type Responses)	Filed pursuant to Section 16(a) of Holding Company Act of 1935 of	hours per respon	se0.5						
1. Name and Address of Reportin Person*		2. Issuer Name and Ticker or Trading Symbol 6. Relationship of Register							
Gilmour, Allan D. (Last) (First) (Middle) c/o Prudential Financial, Inc., Corporate Compliance 751 Broad Street, 4th floor	Prudential Financial, Inc. 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	(PRU) 4. Statement f Month/Day April 01, 2	//Year 2003	X Director 10% Owner Officer Other 7. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) Newark, NJ 07102-777 (City) (State) (Zip)		5. If Amendment, Date of Original (Month/Day/Year)			 X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
Table I - Non-Derivative Secu	rities Acquired, Disposed of, or B	eneficially Own	ed						
1. Title of Security 2. Transac		3. Transaction Code and Voluntary	4. Securities Acquire (A) or Disposed (D) Of (Instr. 3, 4, and 5) Amount A/D Pric	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Owner- ship Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Reminder: Report on a separate li beneficially owned directly or ind		Persons who		tion of information co	ontained				

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

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number.

(over) SEC 1474 (9-02)

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Form 4 (continued)

Table I		Acquired, Dispo ants, options, co		•	wned				
1. Title of Derivative Security	 Transaction	3A. Deemed Execution Date, if	Transaction		6. Date Exercisable(DE) and Expiration	 of	Derivative	10. Owner- ship	11. Nature of Indirect Beneficial

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(Instr. 3)	Price of Deri- vative Security	(Month/ Day/ Year)	any (Month/ Day/ Year)	and Voluntary (V) Code (Instr.8)	Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	(Month/Day/Year)	Securities (Instr. 3 and 4)	Security (Instr.5)	Following Reported Transactions (Instr.4)	Deriv- ative Security:	Ownership (Instr.4)
				Code V							
Notional Shares	1-for-1	04/01/2003		A	(A) 662	(1) (1)	Common Stock - 662	\$29.25	7,650	D	

Explanation of Responses :

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

By: /s/ Kathleen M. Gibson

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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Power of Attorney

Form 4 (continued)

FOOTNOTE Descriptions for Prudential Financial, Inc. (PRU)

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Allan D. Gilmour c/o Prudential Financial, Inc., Corporate Compliance 751 Broad Street, 4th floor Newark, NJ 07102-777

Explanation of responses:

(1) Notional shares are payable in common stock on a date following termination of service on the Board of Directors.

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