#### **BUTLER HELEN RANKIN**

Form 4/A March 13, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

**HYSTER-YALE MATERIALS** 

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**BUTLER HELEN RANKIN** 

							IC. [HY]	XII XL		(C	cable)	
	(Last) 5875 LANI SUITE 300	DERBROOK DR	Middle) IVE,	3. Date of (Month/) 11/15/2	Day/Ye		ransaction			below)	give titleX_ below Member of a G	/
(Street)  MAYFIELD HEIGHTS, OH 44124			4. If Amendment, Date Original Filed(Month/Day/Year) 11/20/2018					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
	(City)	(State)	(Zip)	Tal	ole I - N	on-l	Derivative	Secur	ities A	cquired, Dispose	d of, or Bene	ficially Owned
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transa Code (Instr.	8)	4. Securitin(A) or Dis (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Class A Common Stock	11/15/2018			G	V	75,883	A	\$0	81,009	I	proportionate partnership interest shares held by AMR Associates LP
	Class A Common Stock									677	I	Child's proportionate partnership interest shares held by AMR Associates LP

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

Class A Common Stock	437	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	8,416	I	child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P (1)
Class A Common Stock	4,513	I	held in Trust for the benefit of Reporting Person's minor child (1)
Class A Common Stock	677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	8,572	I	child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P. (1)
Class A Common Stock	4,357	I	held in trust for the benefit of Reporting Person's minor child (1)
Class A Common Stock	437	I	Child's proportionate interest in shares held by Rankin Associates VI
	369	I	Abboolated VI

Class A Common Stock			Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	49,811	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P.
Class A Common Stock	11,750	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	32,369	I	proportionate limited partnership interests in shares held by Rankin Associates IV, L.P.
Class A Common Stock	78	I	proportionate limited partnership interests in shares held by Rankin Associates V, L.P.
Class A Common Stock	92,284	I	held by Trust for the benefit of Reporting Person
Class A Common Stock	2,800	I	Individual Retirement Account for the benefit of

Class A Common Stock							438	I	[	the Report Person's spouse (1) Spouse's proportion interest in Rankin Associates	ate	
Class A Common Stock							7,83	39 I	[	spouse's proportion limited partnership interests in shares held Rankin Associates LP (1)	p n d by	
Class A Common Stock							26,3	313 I	Į.	spouse ser as Trustee the J.C. Butler, Jr. Revocable Trust. (1)	of	
Reminder: R	eport on a sep	arate line for each cla	ss of securities benefi	Pers infor requ	ons ma ired lays	s who resp tion conta d to respor s a current	ond ined nd u	rectly.  to the colle in this form nless the fo alid OMB co	n are not rm	SEC 1474 (9-02)		
			ative Securities Acqu outs, calls, warrants,						i			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. Number in Derivative Securities Acquired ( or Dispose (D) (Instr. 3, 4, and 5)	(A) ed of	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. P Der Sec (Ins
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(2)	11/15/2018		G	V	88,383		(2)	(2)	Class A Common Stock	88,383	

Class B Common Stock	( <u>2</u> )	(2)	(2)	Class A Common Stock	790
Class B Common Stock	(2)	<u>(2)</u>	(2)	Class A Common Stock	8,416
Class B Common Stock	<u>(2)</u>	(2)	(2)	Class A Common Stock	3,683
Class B Common Stock	( <u>2)</u>	(2)	(2)	Class A Common Stock	790
Class B Common Stock	(2)	(2)	<u>(2)</u>	Class A Common Stock	8,572
Class B Common Stock	(2)	(2)	(2)	Class A Common Stock	3,527
Class B Common Stock	<u>(2)</u>	(2)	(2)	Class A Common Stock	89,105

Class B Common Stock	<u>(2)</u>	(2)	(2)	Class A Common 11,750 Stock
Class B Common Stock	<u>(2)</u>	<u>(2)</u>	(2)	Class A Common 51,283 Stock
Class B Common Stock	(2)	(2)	(2)	Class A Common 58,586 Stock
Class B Common Stock	(2)	(2)	<u>(2)</u>	Class A Common 2,800 Stock
Class B Common Stock	<u>(2)</u>	(2)	<u>(2)</u>	Class A Common 7,839 Stock
Class B Common Stock	(2)	<u>(2)</u>	<u>(2)</u>	Class A Common 17,262 Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BUTLER HELEN RANKIN 5875 LANDERBROOK DRIVE, SUITE 300 MAYFIELD HEIGHTS, OH 44124

Member of a Group

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

03/11/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
- (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 7