

RANKIN THOMAS T

Form 4

December 13, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN THOMAS T

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/11/2018

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/11/2018		P	126 ⁽¹⁾ A \$ 62.4098	150,898	I	Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin
Class A Common Stock	12/11/2018		P	1 ⁽¹⁾ A \$ 62.41 ⁽²⁾	63	I	Proportionate interest in shares held by RA5 held by RMI

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Class A Common Stock	12/11/2018	P	4 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	530	I	Proportionate Interest in shares held by RAV
Class A Common Stock	12/11/2018	P	63 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	23,653	I	Serves as Trustee of GSTs for the benefit of Matthew Rankin
Class A Common Stock	12/11/2018	P	63 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	23,653	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/11/2018	P	63 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	23,653	I	Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	12/11/2018	P	1 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	74	I	Proportionate interest in shares held by RA6 held by RMI
Class A Common Stock	12/11/2018	P	112 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	23,765	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/11/2018	P	112 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	23,765	I	Serves as Trustee of GSTs for the benefit of Matthew Rankin
Class A Common Stock	12/11/2018	P	112 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	23,765	I	Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	12/11/2018	P	2 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	217	I	Reporting person's proportionate interest in

Class A Common Stock	12/11/2018	P	4 <u>(1)</u>	A	\$ 62.41 <u>(2)</u>	469	I	shares held in Rankin Associates VI Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/12/2018	P	63 <u>(1)</u>	A	\$ 63.555	150,961	I	Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin
Class A Common Stock	12/12/2018	P	2 <u>(1)</u>	A	\$ 63.56 <u>(3)</u>	532	I	Proportionate Interest in shares held by RAV
Class A Common Stock	12/12/2018	P	32 <u>(1)</u>	A	\$ 63.56 <u>(3)</u>	23,797	I	Serves as Trustee of GSTs for the benefit of Matthew Rankin
Class A Common Stock	12/12/2018	P	32 <u>(1)</u>	A	\$ 63.56 <u>(3)</u>	23,797	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/12/2018	P	32 <u>(1)</u>	A	\$ 63.56 <u>(3)</u>	23,797	I	Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	12/12/2018	P	56 <u>(1)</u>	A	\$ 63.56 <u>(3)</u>	23,853	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	12/12/2018	P	56 <u>(1)</u>	A	\$ 63.56 <u>(3)</u>	23,853	I	Serves as Trustee of GSTs for the benefit of Matthew

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Class A Common Stock	12/12/2018	P	56 ⁽¹⁾ <u> </u>	A	\$ 63.56 ⁽³⁾ <u> </u>	23,853	I	Rankin Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock						3,622	I	Held by Spouse ⁽⁴⁾ <u> </u>
Class A Common Stock						14,343	I	Spouses proportionate interest in shares held by RA1 ⁽⁴⁾ <u> </u>
Class A Common Stock						1,843	I	spouse's proportionate limited partnership interest in shares held by RA II LP ⁽⁴⁾ <u> </u>
Class A Common Stock						7	D	
Class A Common Stock						19	I	proportionate general partnership interest in shares of Rankin Associates IV, L.P held by the Trust
Class A Common Stock						25,324	I	proportionate interest in shares held in RA1
Class A Common Stock						34,849	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P

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Class A Common Stock	65,824	I	Proportionate LP interest in shares held in RA4
Class A Common Stock	1,975	I	Proportionate interest in shares held in RMI

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivative Security (Instr. 5)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(5)							(5)	(5)	Class A Common Stock	3,622
Class B Common Stock	(5)							(5)	(5)	Class A Common Stock	25,657
Class B Common Stock	(5)							(5)	(5)	Class A Common Stock	1,843
Class B Common Stock	(5)							(5)	(5)	Class A Common Stock	6,889

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Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	7
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	31
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	45,300
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	34,849
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	104,286
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	1,975
Class B Common	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common	145,912

Stock

Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN THOMAS T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

12/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-11-Weighted Average - Share Price represents average price between \$62.15 and \$62.49.
- (3) 2018-Dec-12-Weighted Average - Share Price represents average price between \$63.45 and \$63.60.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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