

RANKIN VICTOIRE G

Form 4

December 13, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN VICTOIRE G

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/11/2018

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	12/11/2018		P		252 ⁽¹⁾	A	\$ 62.4098	9,791	I	spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin
Class A Common Stock	12/11/2018		P		504 ⁽¹⁾	A	\$ 62.4098	119,402	I	spouse serves as Trustee of a Trust for the benefit of Alfred M.

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								Rankin, Jr ⁽²⁾
Class A Common Stock	12/11/2018	P	1,007 <u>(1)</u>	A	\$ 62.4098	60,183	I	serves as Trustee of a Trust for the benefit of Victoire G. Rankin
Class A Common Stock	12/11/2018	P	756 ⁽¹⁾	A	\$ 62.4098	29,404	I	Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin ⁽²⁾
Class A Common Stock	12/11/2018	P	1 ⁽¹⁾	A	\$ 62.41 <u>(3)</u>	63	I	spouse's proportionate interest in shares held by RAV held by Rankin Management, Inc.
Class A Common Stock	12/11/2018	P	94 ⁽¹⁾	A	\$ 62.41 <u>(3)</u>	13,228	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	12/11/2018	P	4 ⁽¹⁾	A	\$ 62.41 <u>(3)</u>	530	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	12/11/2018	P	95 ⁽¹⁾	A	\$ 62.41 <u>(3)</u>	109,038	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	12/11/2018	P	95 ⁽¹⁾	A	\$ 62.41 <u>(3)</u>	109,038	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
	12/11/2018	P	1 ⁽¹⁾	A		74	I	

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Class A Common Stock					\$ 62.41 <u>(3)</u>			spouse's proportionate interest in shares held by RAVI held by Rankin Management, Inc.
Class A Common Stock	12/11/2018	P	168 <u>(1)</u>	A	\$ 62.41 <u>(3)</u>	109,206	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	12/11/2018	P	168 <u>(1)</u>	A	\$ 62.41 <u>(3)</u>	109,206	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	12/11/2018	P	2 <u>(1)</u>	A	\$ 62.41 <u>(3)</u>	216	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/11/2018	P	4 <u>(1)</u>	A	\$ 62.41 <u>(3)</u>	468	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/11/2018	P	5 <u>(1)</u>	A	\$ 62.41 <u>(3)</u>	469	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						21,920	I	Represents Spouse's proportionate limited partnership interest in shares held by AMR Associates LP

Class A Common Stock	9,600	I	spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <u>(2)</u>
Class A Common Stock	14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse <u>(2)</u>
Class A Common Stock	10,271	I	Spouse's proportionate limited partnership interest in shares held by RA1 <u>(2)</u>
Class A Common Stock	541	I	Spouses's proportionate limited partnership interest in shares held by AMR RAI <u>(2)</u>
Class A Common Stock	19	I	Spouse's proportionate partnership interest in RAIV <u>(2)</u>
Class A Common Stock	1,087	I	Spouses proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by

						Rankin Management, Inc. ("RMI") <u>(2)</u>
Class A Common Stock		18,625	I			spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr <u>(2)</u>
Class A Common Stock		42,666	I			Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1 <u>(2)</u>
Class A Common Stock		25,099	I			Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust proportionate interest in RAI <u>(2)</u>
Class A Common Stock		46,182	I			Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
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Derivative Security		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(4)							(4)	(4)	Class A Common Stock	25,524
Class B Common Stock	(4)							(4)	(4)	Class A Common Stock	9,600
Class B Common Stock	(4)							(4)	(4)	Class A Common Stock	14,160
Class B Common Stock	(4)							(4)	(4)	Class A Common Stock	17,556
Class B Common Stock	(4)							(4)	(4)	Class A Common Stock	18,373

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	541
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	31
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,721
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,975
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	18,625
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	76,330
Class B Common	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common	25,099

Stock

Stock

Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	73,166
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Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	747
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Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	94,809
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Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	94,809
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Class B Common Stock	(4)	(4)	(4)	Class A Common Stock	21,006
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Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN VICTOIRE G
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

12/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Dec-11-Weighted Average - Share Price represents average price between \$62.15 and \$62.49.
- (4) N/A

- BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's
- (5) spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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