

RANKIN ROGER F  
Form 4  
December 07, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ROGER F

2. Issuer Name **and** Ticker or Trading  
Symbol  
HYSTER-YALE MATERIALS  
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/06/2018

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                                  |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock            | 12/06/2018                              |                                                             | P                                    | 61 <sup>(1)</sup> A \$<br>62.522                                        | 7,704                                                                                                              | I                                                                       | spouse serves<br>as Trustee of<br>a Trust for the<br>benefit of<br>Alison Rankin<br><sup>(2)</sup> |
| Class A<br>Common<br>Stock            | 12/06/2018                              |                                                             | P                                    | 1 <sup>(1)</sup> A \$<br>62.52 <sup>(3)</sup>                           | 61                                                                                                                 | I                                                                       | Interest in<br>shares of<br>Rankin<br>Associates V<br>held by<br>Rankin                            |

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|                            |            |   |               |   |                        |        |   |                                                                                        |
|----------------------------|------------|---|---------------|---|------------------------|--------|---|----------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 12/06/2018 | P | 1 <u>(1)</u>  | A | \$ <u>62.52</u><br>(3) | 520    | I | Management<br><br>Interest in<br>shares held by<br>Rankin<br>Associates V              |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 47 <u>(1)</u> | A | \$ <u>62.52</u><br>(3) | 32,988 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of A.<br>Farnham<br>Rankin          |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 47 <u>(1)</u> | A | \$ <u>62.52</u><br>(3) | 32,988 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Elisabeth M.<br>Rankin        |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 1 <u>(1)</u>  | A | \$ <u>62.52</u><br>(3) | 72     | I | Interest in<br>shares of<br>Rankin<br>Associates VI<br>held by<br>Rankin<br>Management |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 81 <u>(1)</u> | A | \$ <u>62.52</u><br>(3) | 33,069 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of A.<br>Farnham<br>Rankin          |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 81 <u>(1)</u> | A | \$ <u>62.52</u><br>(3) | 33,069 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Elisabeth M.<br>Rankin        |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 2 <u>(1)</u>  | A | \$ <u>62.52</u><br>(3) | 211    | I | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI              |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 2 <u>(1)</u>  | A | \$ <u>62.52</u><br>(3) | 456    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI  |

|                            |            |   |              |   |                        |        |   |                                                                                                                                    |
|----------------------------|------------|---|--------------|---|------------------------|--------|---|------------------------------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 12/06/2018 | P | 2 <u>(1)</u> | A | \$ 62.52<br><u>(3)</u> | 384    | I | <u>(2)</u><br>Child's<br>Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(4)</u>                   |
| Class A<br>Common<br>Stock | 12/06/2018 | P | 2 <u>(1)</u> | A | \$ 62.52<br><u>(3)</u> | 384    | I | Child's<br>Proportionate<br>interest of<br>shares held by<br>Rankin<br>Associates VI<br><u>(5)</u>                                 |
| Class A<br>Common<br>Stock |            |   |              |   |                        | 54,147 | I | spouses<br>proportionate<br>LP interest in<br>shares held by<br>RA1 <u>(2)</u>                                                     |
| Class A<br>Common<br>Stock |            |   |              |   |                        | 29,986 | I | spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates II,<br>L.P <u>(2)</u> |
| Class A<br>Common<br>Stock |            |   |              |   |                        | 200    | I | Reporting<br>person serves<br>as Trustee for<br>the benefit of<br>Elisabeth<br>Rankin                                              |
| Class A<br>Common<br>Stock |            |   |              |   |                        | 765    | I | Reporting<br>person serves<br>as co-trustee<br>of trust fbo<br>Elisabeth M.<br>Rankin                                              |
| Class A<br>Common<br>Stock |            |   |              |   |                        | 20,426 | I | daughter's<br>proportionate<br>LP interest in<br>shares held by<br>RA II LP held<br>in a trust <u>(2)</u>                          |

|                            |        |   |                                                                                                               |
|----------------------------|--------|---|---------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 1,851  | I | Spouse is<br>Trustee for<br>the benefit of<br>Reporting<br>Person's<br>daughter. <sup>(2)</sup>               |
| Class A<br>Common<br>Stock | 81     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V<br><sup>(2)</sup>         |
| Class A<br>Common<br>Stock | 1,209  | I | Reporting<br>Person Serves<br>as Trustee for<br>the benefit of<br>A. Farnham<br>Rankin                        |
| Class A<br>Common<br>Stock | 18,294 | I | daughter's<br>proportionate<br>LP interest in<br>shares held by<br>RA II LP held<br>in a trust <sup>(2)</sup> |
| Class A<br>Common<br>Stock | 81     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V<br><sup>(2)</sup>         |
| Class A<br>Common<br>Stock | 3,738  | I | Spouse is<br>Co-Trustee<br>for the benefit<br>of Reporting<br>Person's<br>daughter. <sup>(2)</sup>            |
| Class A<br>Common<br>Stock | 19     | I | proportionate<br>general<br>partnership<br>interest in<br>shares of<br>Rankin<br>Associates<br>IV,            |
|                            | 3,448  | I |                                                                                                               |

|                            |         |   |                                                                                                              |
|----------------------------|---------|---|--------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock |         |   | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates I,<br>L.P   |
| Class A<br>Common<br>Stock | 4,283   | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P |
| Class A<br>Common<br>Stock | 65,824  | I | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates<br>IV, L.P  |
| Class A<br>Common<br>Stock | 1,975   | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Management,<br>Inc. ("RMI).                      |
| Class A<br>Common<br>Stock | 192,662 | I | Reporting<br>Person serves<br>as Trustee of<br>a Trust for the<br>benefit of<br>Roger F.<br>Rankin           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) |                                  |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|--------------------------------------------------------|----------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                                     | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title                                                  | Amount or<br>Number of<br>Shares |
| Class B<br>Common<br>Stock                          | (6)                                                                   |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | (6)                                                                 | (6)                | Class A<br>Common<br>Stock                             | 444                              |
| Class B<br>Common<br>Stock                          | (6)                                                                   |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | (6)                                                                 | (6)                | Class A<br>Common<br>Stock                             | 96,861                           |
| Class B<br>Common<br>Stock                          | (6)                                                                   |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | (6)                                                                 | (6)                | Class A<br>Common<br>Stock                             | 29,986                           |
| Class B<br>Common<br>Stock                          | (6)                                                                   |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | (6)                                                                 | (6)                | Class A<br>Common<br>Stock                             | 3,123                            |
| Class B<br>Common<br>Stock                          | (6)                                                                   |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | (6)                                                                 | (6)                | Class A<br>Common<br>Stock                             | 200                              |
| Class B<br>Common<br>Stock                          | (6)                                                                   |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | (6)                                                                 | (6)                | Class A<br>Common<br>Stock                             | 20,426                           |

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 1,851  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 18,294 |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 3,738  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,168  |

|                            |            |            |            |                            |         |
|----------------------------|------------|------------|------------|----------------------------|---------|
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 4,283   |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 104,286 |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 1,975   |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 193,760 |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships |           |         |                   |
|------------------------------------------------------------------------|---------------|-----------|---------|-------------------|
|                                                                        | Director      | 10% Owner | Officer | Other             |
| RANKIN ROGER F<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 |               |           |         | Member of a Group |

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

12/07/2018

\_\_Signature of Reporting Person                      Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Dec-6-Weighted Average - Share Price represents average price between \$62.50 and \$62.67.
- (4) Interest held by Trust u/a/d 8/15/2012
- (5) Held in trust u/a/d 12/30/2015
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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