

WILLIAMS CLARA R
Form 4
December 07, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
WILLIAMS CLARA R

2. Issuer Name **and** Ticker or Trading
Symbol
**HYSTER-YALE MATERIALS
HANDLING, INC. [HY]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/04/2018

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/04/2018		P	183 ⁽¹⁾ A \$ 64.9897	93,637	I	Held by trust for the benefit of Reporting Person
Class A Common Stock	12/04/2018		P	2 ⁽¹⁾ A \$ 64.99 ⁽²⁾	383	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
	12/04/2018		P	2 ⁽¹⁾ A	455	I	

Edgar Filing: WILLIAMS CLARA R - Form 4

Class A Common Stock					\$ 64.99 <u>(2)</u>			Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/04/2018	P	2 <u>(1)</u>	A	\$ 64.99 <u>(2)</u>	454	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/04/2018	P	2 <u>(1)</u>	A	\$ 64.99 <u>(2)</u>	454	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/06/2018	P	183 <u>(1)</u>	A	\$ 62.522	93,820	I	Held by trust for the benefit of Reporting Person
Class A Common Stock	12/06/2018	P	2 <u>(1)</u>	A	\$ 62.52 <u>(3)</u>	385	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/06/2018	P	2 <u>(1)</u>	A	\$ 62.52 <u>(3)</u>	457	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/06/2018	P	2 <u>(1)</u>	A	\$ 62.52 <u>(3)</u>	456	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/06/2018	P	2 <u>(1)</u>	A	\$ 62.52 <u>(3)</u>	456	I	Child's proportionate interest in shares held by Rankin Associates VI

Edgar Filing: WILLIAMS CLARA R - Form 4

Class A Common Stock	5,524	I	proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	49,811	I	Reporting Person's proportionate interests in shares held by Rankin Associates I.
Class A Common Stock	11,750	I	Reporting Person's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	32,369	I	Reporting Person's proportionate interests in shares held by Rankin Associates IV.
Class A Common Stock	81	I	Reporting Person's proportionate interests in shares held by Rankin Associates V
Class A Common Stock	3,162	I	By Spouse ⁽⁴⁾
Class A Common Stock	7,104	I	spouse's proportionate LP interest in shares held by RA II LP ⁽⁴⁾
Class A Common Stock	677	I	Child's proportionate partnership interest shares held by AMR

Class A Common Stock	9,945	I	Associates LP Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(4)</u>
Class A Common Stock	2,983	I	Reporting Person's spouse is trustee of a Trust fbo minor child. <u>(4)</u>
Class A Common Stock	677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	8,570	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(4)</u>
Class A Common Stock	4,357	I	Reporting Person's spouse is trustee of a Trust fbo minor child. <u>(4)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: WILLIAMS CLARA R - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	6,435	
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	89,105	
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	11,750	
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	51,283	
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	58,586	
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	2,332	
	(5)					(5)	(5)		7,104	

Edgar Filing: WILLIAMS CLARA R - Form 4

Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(5)</u>		<u>(5)</u>	<u>(5)</u>	790
Class B Common Stock	<u>(5)</u>		<u>(5)</u>	<u>(5)</u>	9,945
Class B Common Stock	<u>(5)</u>		<u>(5)</u>	<u>(5)</u>	2,152
Class B Common Stock	<u>(5)</u>		<u>(5)</u>	<u>(5)</u>	790
Class B Common Stock	<u>(5)</u>		<u>(5)</u>	<u>(5)</u>	8,570
Class B Common Stock	<u>(5)</u>		<u>(5)</u>	<u>(5)</u>	3,528

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WILLIAMS CLARA R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

12/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-4-Weighted Average - Share Price represents average price between \$64.98 and \$64.99.
- (3) 2018-Dec-6-Weighted Average - Share Price represents average price between \$62.50 and \$62.67.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.