Edgar Filing: David BH Williams, Trustee UAD The Helen Charles Williams 2004 Trust - Form 4 David BH Williams, Trustee UAD The Helen Charles Williams 2004 Trust Form 4 October 19, 2018 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading David BH Williams, Trustee UAD Issuer Symbol The Helen Charles Williams 2004 HYSTER-YALE MATERIALS (Check all applicable) Trust HANDLING, INC. [HY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Officer (give title \_\_\_\_X\_\_\_ Other (specify (Month/Day/Year) below) below) 5875 LANDERBROOK DRIVE 10/17/2018 Member of a Group (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MAYFIELD, OH 44124 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Proportionate interest in Class A \$ shares held by 1 (1) 63.07 Common 10/17/2018 Ρ Α 164 Ι Rankin (2) Stock Associates VI held in trust Proportionate interest in Class A \$ shares held by 1 (1) Common 10/18/2018 Ρ 61.69 165 I Α Rankin Stock (3) Associates VI

held in trust

| Class A<br>Common<br>Stock | 279   | Ι | proportionate<br>partnership<br>interest shares<br>held by AMR<br>Associates LP                                 |
|----------------------------|-------|---|---|
| Class A<br>Common<br>Stock | 9,740 | Ι | Reporting<br>Person?s<br>trust?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II. |
| Class A<br>Common<br>Stock | 2,983 | D |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 3                   | Date               | 7. Title and Amount<br>Underlying Securitie<br>(Instr. 3 and 4) |  |  |
|---|---|---|--|---|---------------------|--------------------|---|--|--|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| Class B<br>Common<br>Stock                          | <u>(4)</u>  |   |  |   | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock                                      | 326                                    |  |
| Class B<br>Common<br>Stock                          | <u>(4)</u>  |   |  |   | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock                                      | 9,740                                  |  |

| Class B |            |         |    | Class A |       |
|---------|------------|---------|----|---------|-------|
| Common  | <u>(4)</u> | (4) (4) | 4) | Common  | 2,152 |
| Stock   |            |         |    | Stock   |       |
|         |            |         |    |         |       |

Relationshins

## **Reporting Owners**

| Reporting Owner Name / Address                            |  | Kelationships |         |             |  |  |
|---|--|---------------|---------|-------------|--|--|
|   |  | 10%<br>Owner  | Officer | Other       |  |  |
| David BH Williams, Trustee UAD The Helen Charles Williams |  |               |         |             |  |  |
| 2004 Trust  |  |               |         | Member of a |  |  |
| 5875 LANDERBROOK DRIVE                                    |  |               |         | Group       |  |  |
| MAYFIELD, OH 44124  |  |               |         | -           |  |  |
| Signatures  |  |               |         |             |  |  |
| /s/ Suzanne S. Taylor,                                    |  |               |         |             |  |  |

attorney-in-fact 10/19/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-17 Weighted Average- Share Price represents average price between \$62.63 and \$63.50.
- (3) 2018-Oct-18 -Weighted Average- Share Price represents average price between \$61.23 and \$62.03.

## (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.