

Gallina John E  
 Form 4  
 March 07, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Gallina John E

(Last) (First) (Middle)  
 120 MONUMENT CIRCLE  
 (Street)

INDIANAPOLIS, IN 46204

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Anthem, Inc. [ANTM]

3. Date of Earliest Transaction (Month/Day/Year)  
 03/05/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 EVP & Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) |                    | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |                               |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------------------|---|--|-----------------------------------|-------------------------------|
|                                 |                                      |  |                                | Code  | V Amount (D) Price |   |  |                                   |                               |
| Common Stock                    | 03/05/2018                           |  | G                              | V   | 2,448              | D \$ 0  | 22,139   | D                                 | Indirect Beneficial Ownership |
| Common Stock                    | 03/05/2018                           |  | M                              |   | 3,948              | A \$ 61.88  | 26,087   | D                                 | Indirect Beneficial Ownership |
| Common Stock                    | 03/05/2018                           |  | M                              |   | 3,921              | A \$ 131.8  | 30,008   | D                                 | Indirect Beneficial Ownership |
| Common Stock                    | 03/05/2018                           |  | M                              |   | 6,466              | A \$ 89.44  | 36,474   | D                                 | Indirect Beneficial Ownership |
| Common Stock                    | 03/05/2018                           |  | M                              |   | 4,036              | A \$ 132.51   | 40,510   | D                                 | Indirect Beneficial Ownership |

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|              |            |                  |        |   |                     |        |   |
|--------------|------------|------------------|--------|---|---------------------|--------|---|
| Common Stock | 03/05/2018 | S <sup>(1)</sup> | 15,104 | D | \$<br>229.19<br>(2) | 25,406 | D |
| Common Stock | 03/05/2018 | S <sup>(1)</sup> | 5,372  | D | \$<br>230.44<br>(3) | 20,034 | D |
| Common Stock | 03/05/2018 | S <sup>(1)</sup> | 4,100  | D | \$<br>231.29<br>(4) | 15,934 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (Right to Buy)       | \$ 61.88   | 03/05/2018                           |  | M                              | 3,948   | (5) 03/01/2020   | Common Stock  | 3,948                         |
| Employee Stock Option (Right to Buy)       | \$ 131.8   | 03/05/2018                           |  | M                              | 3,921   | (6) 03/01/2026   | Common Stock  | 3,921                         |
| Employee Stock Option (Right to Buy)       | \$ 89.44   | 03/05/2018                           |  | M                              | 6,466   | (7) 03/03/2021   | Common Stock  | 6,466                         |
|  | \$ 132.51  | 03/05/2018                           |  | M                              | 4,036   | (8) 06/01/2026   |   | 4,036                         |

Employee  
 Stock  
 Option  
 (Right to  
 Buy)

Common  
 Stock

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                               |       |
|---|---------------|-----------|-------------------------------|-------|
|   | Director      | 10% Owner | Officer                       | Other |
| Gallina John E<br>120 MONUMENT CIRCLE<br>INDIANAPOLIS, IN 46204 |               |           | EVP & Chief Financial Officer |       |

## Signatures

/s/ Kathleen S. Kiefer, Attorney  
 in fact 03/07/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 12, 2017.  
 This transaction was executed in multiple trades at prices ranging from \$229.00 to \$229.98. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This transaction was executed in multiple trades at prices ranging from \$230.00 to \$230.97. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) This transaction was executed in multiple trades at prices ranging from \$231.01 to \$231.83. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) The option, representing a right to purchase a total of 11,843 shares, is exercisable in one installment of 1,973 shares and five semi-annual installments of 1,974 shares each beginning on September 1, 2013, which is the six-month anniversary of the date on which the option was granted.
- (5) The option, representing a right to purchase a total of 5,883 shares, is exercisable in three semi-annual installments of 980 shares each and three semi-annual installments of 981 shares each beginning on September 1, 2016, which is the six-month anniversary of the date on which the option was granted.
- (6) The option, representing a right to purchase a total of 9,698 shares, is exercisable in four semi-annual installments of 1,616 shares each and two semi-annual installments of 1,617 shares each beginning on September 3, 2014, which is the six-month anniversary of the date on which the option was granted.
- (7) The option represents a right to purchase a total of 8,074 shares, is exercisable in two installments of 1,345 shares each and four installments of 1,346 shares each, beginning on December 1, 2016, which is the six-month anniversary of the option grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.