#### Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 4/A

#### ENTERPRISE FINANCIAL SERVICES CORP

Form 4/A January 20, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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0.5

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**OMB APPROVAL** 

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obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MULLINS BIRCH M			2. Issuer Name and Ticker or Trading Symbol ENTERPRISE FINANCIAL SERVICES CORP [EFSC]						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First)	(Middle)	(Month/D	ay/Year)					X Director Officer (giv below)		6 Owner er (specify		
150 N. MERAMEC (Street) ST. LOUIS, MO 63105			4. If Amer Filed(Mon	12/16/2014  4. If Amendment, Date Original Filed(Month/Day/Year) 12/17/2014						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Nor	ı-De	erivative S	ecuri	ties Ac	quired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Execut	eemed ion Date, if n/Day/Year)	3. Transa Code (Instr.	8)	4. Securi nAcquired Disposed (Instr. 3,	I (A) of (D) 4 and (A) or	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/16/2014			G	V	6,737 (1)	A	\$ 0 (2)	6,737	I	Spouse Trust		
Common Stock	12/26/2014			G	V	6,737 (3)	D	\$ 0 (2)	0	I	Spouse Trust		
D : 1 D			1 6	*** 1	٠.	. 11	1 1.	.1	r r a				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration	Title N			
						Exercisable	Date		tle Number of		
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r	Director	10% Owner	Officer	Other			
MULLINS BIRCH M 150 N. MERAMEC ST. LOUIS, MO 63105	X						

## **Signatures**

Reporting Person

/s/Birch M. 01/20/2016 Mullins \*\*Signature of Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On 12/16/14, the reporting person made a bona-fide gift of 6,737 shares to the Catherine V. Mullins Revocable Trust, of which the reporting person's spouse is trustee, and the reporting person's spouse and the reporting person's son are beneficiaries. This gift was timely reported as a disposition on Form 4 filed on 12/17/2014. Although the reporting person disclaims beneficial ownership, this amendment reflects the acquisition and indirect ownership of these shares as a result of the same gift.
- (2) Price is not applicable to acquisitions or dispositions resulting from bona fide gifts.
- (3) On 12/26/14, the shares referred to in footnote (1) were disposed of in a bona fide gift by the reporting person's spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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