

STARBUCKS CORP  
Form 4  
November 25, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SCHULTZ HOWARD D

2. Issuer Name and Ticker or Trading Symbol  
STARBUCKS CORP [SBUX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
2401 UTAH AVENUE SOUTH,  
SUITE 800  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/21/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
chairman, president and ceo

SEATTLE, WA 98134

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 11/21/2013                           |  | M                              |   | 400,000   | A  | \$ 30.42  |
| Common Stock                    | 11/21/2013                           |  | M                              |   | 75,000  | A  | \$ 8.64   |
| Common Stock                    | 11/21/2013                           |  | M                              |   | 82,000  | A  | \$ 27.32  |
| Common Stock                    | 11/21/2013                           |  | S                              |   | 557,000   | D  | \$ 81.4412  |
| Common Stock                    |                                      |  |                                |   |   |  | <u>(1)</u>  |
| Common Stock                    |                                      |  |                                |   |   |  | 295,000   |
|                                 |                                      |  |                                |   |   | I  | Family Owned  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |              |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date                                   | Title        |
| Non-qualified Stock Option (Right to Buy)  | \$ 30.42   | 11/21/2013                           |  | M                              | 400,000   | 11/16/2006 <sup>(2)</sup>                                | 11/16/2015  | Common Stock |
| Non-qualified Stock Option (Right to Buy)  | \$ 8.64  | 11/21/2013                           |  | M                              | 75,000  | 11/17/2009 <sup>(3)</sup>                                | 11/17/2018  | Common Stock |
| Non-qualified Stock Option (Right to Buy)  | \$ 27.32   | 11/21/2013                           |  | M                              | 82,000  | 10/01/2005 <sup>(4)</sup>                                | 11/16/2014  | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |                             |
|--|---------------|-----------|---------|-----------------------------|
|  | Director      | 10% Owner | Officer | Other                       |
| SCHULTZ HOWARD D<br>2401 UTAH AVENUE SOUTH, SUITE 800<br>SEATTLE, WA 98134 | X             |           |         | chairman, president and ceo |

## Signatures

/s/ Robert L. Villase?or, attorney-in-fact for Howard Schultz 11/25/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: STARBUCKS CORP - Form 4

(1) This transaction was executed in multiple trades at prices ranging from \$81.25 to \$81.61. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(2) The option, representing the right to buy a total of 966,469 shares, became exercisable in one increment of 322,157 shares on November 16, 2006, and in two increments of 322,156 shares each on November 16, 2007 and November 16, 2008. The option expires on November 16, 2015.

(3) The option, representing the right to buy a total of 2,714,947 shares, became exercisable in three increments of 678,737 shares each on November 17, 2009, November 17, 2010 and November 17, 2011, and one increment of 678,736 shares on November 17, 2012. The option expires on November 17, 2018.

(4) The option, representing the right to buy a total of 1,000,000 shares, became exercisable in one increment of 333,334 shares on October 1, 2005, and in two increments of 333,333 shares each on October 1, 2006 and October 1, 2007. The option expires on November 16, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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