

BALL CORP
Form 4
November 07, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MORRISON SCOTT C

(Last) (First) (Middle)

**BALL CORPORATION, 10 LONGS
PEAK DR.**

(Street)

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BALL CORP [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)
11/06/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
SR. V.P. and C.F.O.

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or Price (D)		
Common Stock	11/06/2013		M ⁽¹⁾		8,520 A \$ 19.87	151,620.2539	D
Common Stock	11/06/2013		S		700 D \$ 49.87	150,920.2539	D
Common Stock	11/06/2013		S		100 D \$ 49.865	150,820.2539	D
Common Stock	11/06/2013		S		600 D \$ 49.86	150,220.2539	D
Common Stock	11/06/2013		S		200 D \$ 49.855	150,020.2539	D
	11/06/2013		S		1,220 D \$ 49.85	148,800.2539	D

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Common Stock								
Common Stock	11/06/2013	S	700	D	\$ 49.84	148,100.2539	D	
Common Stock	11/06/2013	S	800	D	\$ 49.83	147,300.2539	D	
Common Stock	11/06/2013	S	400	D	\$ 49.82	146,900.2539	D	
Common Stock	11/06/2013	S	100	D	\$ 49.815	146,800.2539	D	
Common Stock	11/06/2013	S	600	D	\$ 49.81	146,200.2539	D	
Common Stock	11/06/2013	S	300	D	\$ 49.8	145,900.2539	D	
Common Stock	11/06/2013	S	943	D	\$ 49.79	144,957.2539	D	
Common Stock	11/06/2013	S	557	D	\$ 49.78	144,400.2539	D	
Common Stock	11/06/2013	S	200	D	\$ 49.77	144,200.2539	D	
Common Stock	11/06/2013	S	900	D	\$ 49.76	143,300.2539	D	
Common Stock	11/06/2013	S	100	D	\$ 49.755	143,200.2539	D	
Common Stock	11/06/2013	S	100	D	\$ 49.745	143,100.2539	D	
Common Stock						3,965.966	I	401(k) Plan <u>(2)</u>
Common Stock						50	I	By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. (Instr. 3 and 4)
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Derivative Security		(A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
		Code V	(A) (D)				
Employee Stock Option (Right to Buy)	\$ 19.87	11/06/2013		M ⁽¹⁾	8,520	<u>(3)</u> 04/27/2015	Common Stock 8,520

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MORRISON SCOTT C BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510			SR. V.P. and C.F.O.	

Signatures

/s/ Janice L. Rodriguez, attorney-in-fact for Mr. Morrison	11/07/2013
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Stock Options.
- (2) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (3) Shares exercisable beginning one year after grant in 25% increments and thereafter annually upon the anniversary of the date of the grant of the stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.