

HYSTER-YALE MATERIALS HANDLING, INC.

Form 3/A

February 11, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

RANKIN ALFRED M ET AL

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD

HEIGHTS, OH 44124

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

09/28/2012

3. Issuer Name and Ticker or Trading Symbol

HYSTER-YALE MATERIALS HANDLING, INC. [HY]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chairman

5. If Amendment, Date Original
Filed(Month/Day/Year)

09/28/2012

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Class A Common Stock

87,354

I

Reporting Person serves as
Trustee of the Alfred M. Rankin,
Jr. 2011 Grantor Retained
Annuity Trust.

Class A Common Stock

90,000

I

Reporting Person serves as
Trustee of the Alfred M. Rankin,
Jr. 2012 Grantor Retained
Annuity Trust

Class A Common Stock

14,160

I

Held in an Individual Retirement
Account for the benefit of the
Reporting Person.

Class A Common Stock

131,183

I

Reporting Person serves as
Trustee of a Trust for the benefit
of the Alfred M. Rankin, Jr.

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Class A Common Stock	2,254	I	proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR
Class A Common Stock	753	I	Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP
Class A Common Stock	25	I	proportionate general partnership interest shares held by RAIV
Class A Common Stock	1,404	I	Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	1,975	I	proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner
Class A Common Stock	27,008	I	Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. ⁽¹⁾
Class A Common Stock	29,370	I	Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77 ⁽¹⁾
Class A Common Stock	113,198	I	proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin ⁽¹⁾
Class A Common Stock	29,379	I	proportionate LP interest in shares held by RA II LP held in trust for benefit of Bruce Rankin ⁽¹⁾
Class A Common Stock	59,674	I	Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIV ⁽¹⁾
Class A Common Stock	740	I	Reporting person serves as Trustee of a Trust for the benefit of Bruce T. Rankin ⁽¹⁾
Class A Common Stock	35	I	Clara LT Trust Represents Proportionate limited partnership interest in shares held by RAIV, LP ⁽²⁾
Class A Common Stock	18,400	I	Reporting Person serves as Trustee of Trusts for the benefit

of each of grantor's grandchildren
(1)

Class A Common Stock	2,116	I	spouse's proportionate limited partnership interest in shares held by RA II LP (1)
Class A Common Stock	21,006	I	spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	87,354	\$ (3)	I Reporting Person serves as Trustee of the Alfred M. Rankin, Jr. 2011 Grantor Retained Annuity Trust.
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	90,000	\$ (3)	I Reporting person serves as Trustee of the Alfred M. Rankin, Jr. 2012 Grantor Retained Annuity Trust
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	14,160	\$ (3)	I Held in an Individual Retirement Account for the benefit of the Reporting Person.
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	131,183	\$ (3)	I Reporting Person serves as Trustee of a Trust for the benefit of the

Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	2,254	\$ (3)	I	Alfred M. Rankin, Jr. proportionate LP intrst in shares held by RA I LP in qualified annuity interest trust-benefit of AMR
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	753	\$ (3)	I	Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	25	\$ (3)	I	proportionate general partnership interest shares held by RAIV
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	1,404	\$ (3)	I	Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	1,975	\$ (3)	I	proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	27,008	\$ (3)	I	Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. ⁽¹⁾
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	29,370	\$ (3)	I	Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77 ⁽¹⁾
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	113,198	\$ (3)	I	proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce

Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	29,379	\$ (3)	I	Rankin ⁽¹⁾ proportionate LP interest in shares held by RA II LP held in trust for benefit of Bruce Rankin ⁽¹⁾
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	59,674	\$ (3)	I	Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIIV
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	740	\$ (3)	I	Reporting person serves as Trustee of a Trust for the benefit of Bruce T. Rankin ⁽¹⁾
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	35	\$ (3)	I	Clara LT Trust Represents Proportionate limited partnership interest in shares held by RAIIV, LP ⁽¹⁾
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	18,400	\$ (3)	I	Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren ⁽¹⁾
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	2,116	\$ (3)	I	spouse's proportionate limited partnership interest in shares held by RA II LP ⁽¹⁾
Class B Common Stock	Â (3)	Â (3)	Class A Common Stock	21,006	\$ (3)	I	spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin ⁽¹⁾

Reporting Owners

Reporting Owner Name / Address

Relationships

	Director	10% Owner	Officer	Other
RANKIN ALFRED M ET AL				
5875 LANDERBROOK DRIVE	Â X	Â	Â Chairman	Â
MAYFIELD HEIGHTS,Â OHÂ 44124				

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

02/11/2013

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
- (2) Reporting person serves as Trust for Clara L.T. Rankin Trust
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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