Edgar Filing: CENTRAL VALLEY COMMUNITY BANCORP - Form 4

CENTRAL VALLEY COMMUNITY BANCORP

Form 4 June 17, 2015

CVCY Common

Stock CVCY

06/16/2015

06/16/2015

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SOMMER THOMAS L Issuer Symbol CENTRAL VALLEY (Check all applicable) COMMUNITY BANCORP [CVCY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) 7100 N. FINANCIAL DRIVE. 06/16/2015 EXECUTIVE VICE PRESIDENT **SUITE 101** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **FRESNO, CA 93720** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 1. Title of 3. 4. Securities Acquired 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Price (D) Code V Amount **CVCY** Common 06/16/2015 M 1,000 \$ 8.02 41,516 D A Stock **CVCY** Common 06/16/2015 M 2,000 \$ 5.76 43,516 D Stock

M

S

2,500

5,500

A

D

\$ 6.7

\$

46,016

40,516

D

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
CVCY 2005 Omnibus Incentive Plan	\$ 8.02	06/16/2015		M		1,000	09/19/2013	09/19/2022	CVCY Common Stock	1,000
CVCY 2005 Omnibus Incentive Plan	\$ 8.02	06/16/2015		D(2)		1,500	09/19/2013	09/19/2022	CVCY Common Stock	1,500
CVCY 2005 Omnibus Incentive Plan	\$ 5.76	06/16/2015		M		2,000	09/15/2011	09/15/2020	CVCY Common Stock	2,000
CVCY 2005 Omnibus Incentive Plan	\$ 5.76	06/16/2015		D(2)		500	09/15/2011	09/15/2020	CVCY Common Stock	500

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CVCY Steels CVCY

Stock
Option
2000 Plan

Stock

06/16/2015

M
2,500 04/23/2008 04/23/2017 Common 2,500
Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SOMMER THOMAS L
7100 N. FINANCIAL DRIVE, SUITE 101
FRESNO, CA 93720
PRESIDENT

Signatures

/s/ Thomas L. Sommer 06/17/2015

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unvested as of retirement on April 30, 2015 and forfeited to issuer.
- (2) Options unvested as of retirement on April 30, 2015 and expired.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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