## Edgar Filing: CENTRAL VALLEY COMMUNITY BANCORP - Form 4

#### CENTRAL VALLEY COMMUNITY BANCORP

Form 4 June 17, 2015

CVCY Common

Stock CVCY

06/16/2015

06/16/2015

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SOMMER THOMAS L Issuer Symbol CENTRAL VALLEY (Check all applicable) COMMUNITY BANCORP [CVCY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) 7100 N. FINANCIAL DRIVE. 06/16/2015 EXECUTIVE VICE PRESIDENT **SUITE 101** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **FRESNO, CA 93720** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 1. Title of 3. 4. Securities Acquired 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Price (D) Code V Amount **CVCY** Common 06/16/2015 M 1,000 \$ 8.02 41,516 D A Stock **CVCY** Common 06/16/2015 M 2,000 \$ 5.76 43,516 D Stock

M

S

2,500

5,500

A

D

\$ 6.7

\$

46,016

40,516

D

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | Securities |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--------|------------|-------|--|--------------------|---|--|
|   |   |                                      |   | Code V | (A)        | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| CVCY<br>2005<br>Omnibus<br>Incentive<br>Plan        | \$ 8.02   | 06/16/2015                           |   | M      |            | 1,000 | 09/19/2013   | 09/19/2022         | CVCY<br>Common<br>Stock   | 1,000                                  |
| CVCY<br>2005<br>Omnibus<br>Incentive<br>Plan        | \$ 8.02   | 06/16/2015                           |   | D(2)   |            | 1,500 | 09/19/2013   | 09/19/2022         | CVCY<br>Common<br>Stock   | 1,500                                  |
| CVCY<br>2005<br>Omnibus<br>Incentive<br>Plan        | \$ 5.76   | 06/16/2015                           |   | M      |            | 2,000 | 09/15/2011   | 09/15/2020         | CVCY<br>Common<br>Stock   | 2,000                                  |
| CVCY<br>2005<br>Omnibus<br>Incentive<br>Plan        | \$ 5.76   | 06/16/2015                           |   | D(2)   |            | 500   | 09/15/2011   | 09/15/2020         | CVCY<br>Common<br>Stock   | 500                                    |

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CVCY

Stock
Option
2000 Plan

Stock

06/16/2015

M
2,500 04/23/2008 04/23/2017 Common 2,500
Stock

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SOMMER THOMAS L
7100 N. FINANCIAL DRIVE, SUITE 101
FRESNO, CA 93720
PRESIDENT

**Signatures** 

/s/ Thomas L. Sommer 06/17/2015

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unvested as of retirement on April 30, 2015 and forfeited to issuer.
- (2) Options unvested as of retirement on April 30, 2015 and expired.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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