## Edgar Filing: CRAIN JAMES C - Form 4

CRAIN JAMES C Form 4 March 09, 2018       OMB APPROVAL         FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL         Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Manuary 31, 2005         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, solid) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       State of the securities of the securi									
CRAIN JAMES C S (Last) (First) (Middle) 3 (1)			nk Midstream, e of Earliest Trans n/Day/Year)	LLC [E	-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) XDirector10% Owner Officer (give titleOther (specify			
1722 ROUT 1300 DALLAS, T	'H STREET, SUI' (Street) 'Y 75201	4. If <i>A</i>	/2018 mendment, Date ( /onth/Day/Year)	Original		6. Individual or Joint/Group Filing(Check     Applicable Line)     Form filed by One Reporting Person     Form filed by More than One Reporting			
(City)		Zip) T	ble I. New Deed	Co	· · · · · · · · · · · · · · · · · · ·	Person	f an Danaffair	lle: Orana d	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any	3. 4 if Transaction	4. Securitie Acquired ( Disposed c (Instr. 3, 4	es (A) or of (D)	quired, Disposed o 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial	
Common Units	03/07/2018				A \$0	82,840	D		
Common Units						1,000	I	James C. Crain Trust $\frac{(2)}{2}$	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
CRAIN JAMES C 1722 ROUTH STREET SUITE 1300 DALLAS, TX 75201	Х						
Signatures							
Michael J. Garberding, by power of attorney 03/09/2018							
<b>**</b> Signature of Reporting Person	ı		Date				
Explanation of Deenoneee							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This is an award of Restricted Incentive Units under the company's long term incentive plan which is scheduled to vest on March 7, 2019.

Units held by James C. Crain Trust, Mr. James C. Crain as trustee, for the benefit of Mr. Crain's children. Mr. Crain disclaims beneficial
 ownership of these securities except to the extent of his pecuniary interest therein, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.