Edgar Filing: IRON MOUNTAIN INC - Form 4

| Form 4 | INTAIN INC | | | | | | | | | | |
|--|---|----------------|---|---|--------------|--|--|--|---|--------------------------------|--|
| November 0 | ЛЛ | STATES | | | | | NGE C | OMMISSION | OMB | PROVAL 3235-0287 | |
| Section 16. Form 4 or Form 5 obligations may continue Fort 16. Filed pursuant to Section 17(a) of the | | | Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940 | | | | | | Number: January 31 Expires: 2005 Estimated average burden hours per response 0.5 | | |
| 1(b). (Print or Type 1 | Responses) | | | | | | | | | | |
| 1. Name and A Sweeney Ei | Address of Reporting F ileen | erson <u>*</u> | Symbol | Name and | | | | 5. Relationship of Issuer | | | |
| (Last) | (First) (M | iddle) | | Earliest Tr | | [| -, | (Check all applicable) | | | |
| | MOUNTAIN RATED, ONE FEI | DERAL | (Month/D 10/28/20 | ay/Year) | | | | Director X Officer (give below) Sr.VP,and C | | Owner er (specify gement | |
| | | | | nendment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| BOSTON, I | MA 02110 | | | | | | | Person | fore than One Ke | porting | |
| (City) | (State) (| Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | arity (Month/Day/Year) Execution Date, if | | Code (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | | |
| Common Stock, par value \$.01 per share | 10/28/2016 | | | М | 2,107 (1) | A | \$ 0 | 2,107 | D | | |
| Common Stock, par value \$.01 per share | 10/28/2016 | | | F | 650 | D | \$ 33.03 | 1,457 | D | | |
| Common Stock, par value \$.01 | 10/28/2016 | | | М | 5,272 (1) | А | \$0 | 6,729 | D | | |

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| per share | | | | | | | |
|--|------------|--------------|-------|---|-------------|-------|---|
| Common Stock, par value \$.01 per share | 10/28/2016 | F | 1,626 | D | \$ 33.03 | 5,103 | D |
| Common Stock, par value \$.01 per share | 10/31/2016 | S <u>(2)</u> | 4,083 | D | \$ 33 | 1,020 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Transaction of Derivative Code Securities | | mof DerivativeExpiration DateSecurities(Month/Day/Year)Acquired(A) orDisposed of(D)(Instr. 3, 4, | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deriv Secu (Inst |
|---|---|---|---|--|--|---------------------|--|-----------------|---|----|---------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| Restricted Stock Units | <u>(3)</u> | 10/28/2016 | | М | 2,107 | (4) | <u>(4)</u> | Common Stock | 2,107 | \$ | |
| Restricted Stock Units | <u>(3)</u> | 10/28/2016 | | М | 5,272 | (5) | (5) | Common Stock | 5,272 | \$ | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|--------------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Sweeney Eileen C/O IRON MOUNTAIN INCORPORATED ONE FEDERAL STREET BOSTON, MA 02110 | | | Sr.VP, and GM, Data Management | | | |

Signatures

| /s/ Elizabeth Tammaro, under Power of Attorney dated June 28, 2016, from Eileen | 11/01/2016 |
|---|------------|
| Sweeney | 11/01/2010 |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This acquisition is reported to reflect the partial vesting of restricted stock units ("RSUs") previously granted to the Reporting Person on October 28, 2014.
- (2) This transaction was effected pursuant to a 10b5-1 trading plan which was approved and became effective as of September 8, 2016.
- (3) Each RSU represents a contingent right to receive one share of Iron Mountain Incorporated common stock ("Common Stock").
- (4) The RSUs, representing a contingent right to receive a total of 6,326 shares of Common Stock, were granted to the Reporting Person on October 28, 2014 and vest in three substantially equal annual installments beginning on the first anniversary of the grant date.
- (5) The RSUs, representing a contingent right to receive a total of 21,088 shares of Common Stock, were granted to the Reporting Person on October 28, 2014 and vest in four substantially equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.