

HUTH JOHANNES P

Form 4

December 11, 2007

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KKR 1996 GP LLC

(Last) (First) (Middle)

C/O KKR & CO., 9 WEST 57TH  
STREET, 41ST FLOOR

(Street)

NEW YORK, NY 10019

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Rockwood Holdings, Inc. [ROC]

3. Date of Earliest Transaction  
(Month/Day/Year)

12/07/2007

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

\_\_\_\_ Form filed by One Reporting Person  
\_\_X\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)             | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock, par<br>value \$0.01<br>per share |                                         |                                                             |                                         | (A)<br>or<br>(D)                                                           |                                                                                                                    |                                                                      | See<br>Footnote<br>(2) (7) (8) (9)<br>(10)                        |
| Common<br>Stock, par<br>value \$0.01<br>per share | 12/07/2007                              |                                                             | S                                       | 97,999 D                                                                   | \$ 34<br>(1) 15,174,368                                                                                            | I                                                                    | See<br>Footnote<br>(3) (7) (8) (9)<br>(10)                        |
| Common<br>Stock, par<br>value \$0.01<br>per share |                                         |                                                             |                                         |                                                                            | 131,093                                                                                                            | I                                                                    | See<br>Footnote<br>(4) (7) (8) (9)<br>(10)                        |

|                                                   |            |   |                                            |
|---------------------------------------------------|------------|---|--------------------------------------------|
| Common<br>Stock, par<br>value \$0.01<br>per share | 318,174    | I | See<br>Footnote<br>(5) (7) (8) (9)<br>(10) |
| Common<br>Stock, par<br>value \$0.01<br>per share | 10,643,136 | I | See<br>Footnote<br>(6) (7) (8) (9)<br>(10) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

| Reporting Owner Name / Address                                                                   | Relationships |           |         |       |
|--------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                                  | Director      | 10% Owner | Officer | Other |
| KKR 1996 GP LLC<br>C/O KKR & CO.<br>9 WEST 57TH STREET, 41ST FLOOR<br>NEW YORK, NY 10019         |               | X         |         |       |
| KKR ASSOCIATES 1996 L P<br>C/O KKR & CO.<br>9 WEST 57TH STREET, 41ST FLOOR<br>NEW YORK, NY 10019 |               | X         |         |       |
| KKR 1996 FUND L P<br>C/O KKR & CO.                                                               |               | X         |         |       |

9 WEST 57TH STREET, 41ST FLOOR  
NEW YORK, NY 10019

KRAVIS HENRY R

C/O KKR & CO.

9 WEST 57TH STREET, 41ST FLOOR  
NEW YORK, NY 10019

X

ROBERTS GEORGE R

C/O KKR & CO.

9 WEST 57TH STREET, 41ST FLOOR  
NEW YORK, NY 10019

X

MICHELSON MICHAEL W

C/O KKR & CO.

9 WEST 57TH STREET, 41ST FLOOR  
NEW YORK, NY 10019

X

GREENE JAMES H JR

C/O KKR & CO.

9 WEST 57TH STREET, 41ST FLOOR  
NEW YORK, NY 10019

X

HUTH JOHANNES P

C/O KKR & CO.

9 WEST 57TH STREET, 41ST FLOOR  
NEW YORK, NY 10019

X

NAVAB ALEXANDAR JR

C/O KKR & CO.

9 WEST 57TH STREET, 41ST FLOOR  
NEW YORK, NY 10019

X

## Signatures

/s/ Richard J. Kreider, by power of attorney, for KKR 1996 GP LLC

12/11/2007

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for KKR Associates  
1996 LP

12/11/2007

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for KKR 1996 Fund LP

12/11/2007

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for Henry R. Kravis

12/11/2007

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for George R. Roberts

12/11/2007

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for Michael W.  
Michelson

12/11/2007

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for James H. Greene, Jr.

12/11/2007

# Edgar Filing: HUTH JOHANNES P - Form 4

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for Johannes P. Huth

12/11/2007

\_\_Signature of Reporting Person

Date

/s/ Richard J. Kreider, by power of attorney, for Alexander Navab

12/11/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents price to the public pursuant to an underwritten offering before underwriting discounts and commissions upon the exercise of the underwriters' over-allotment option.  
  
These securities are held of record by KKR Millennium Fund L.P. As the sole general partner of KKR Millennium Fund L.P., KKR Associates Millennium L.P. may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P. As the sole general partner of KKR Associates Millennium L.P., KKR Millennium GP LLC also may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P.
- (2) These securities are held of record by KKR 1996 Fund L.P. As the sole general partner of KKR 1996 Fund L.P., KKR Associates 1996 L.P. may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P. As the sole general partner of KKR Associates 1996 L.P., KKR 1996 GP LLC also may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P.
- (3) These securities are held of record by KKR Partners II, L.P. As the general partners of KKR Partners II, L.P., KKR Associates (Strata) L.P. and KKR Associates, L.P. may be deemed to be the beneficial owners of such securities held by KKR Partners II, L.P. As the sole general partner of KKR Associates (Strata) L.P., Strata L.L.C. also may be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P.
- (4) These securities are held of record by KKR Partners III, L.P. (Series F). As the sole general partner of KKR Partners III, L.P., KKR III GP LLC may be deemed to be the beneficial owner of such securities held by KKR Partners III, L.P. (Series F).
- (5) The securities are held of record by KKR European Fund, Limited Partnership. As the sole general partner of KKR European Fund, Limited Partnership, KKR Associates Europe, Limited Partnership may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership. As the sole general partner of KKR Associates Europe, Limited Partnership, KKR Europe Limited also may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership.
- (6) As members of KKR Millennium GP LLC, KKR 1996 GP LLC and KKR III GP LLC, Messrs. Henry R. Kravis, George R. Roberts, Paul E. Raether, Michael W. Michelson, James H. Greene, Perry Golkin, Johannes Huth, Alexander Navab and Todd A. Fisher may also be deemed to be beneficial owners of the securities held by KKR Millennium Fund L.P., KKR 1996 Fund L.P. and KKR Partners III L.P. (Series F), respectively; as members of Strata L.L.C. and general partners of KKR Associates, L.P., Messrs. Kravis, Roberts, Raether, Michelson, Greene and Golkin also may be deemed to be beneficial owners of the securities held by KKR Partners II, L.P.; (continued in footnote 8)
- (7) (continued from footnote 7); as members of KKR Millennium GP LLC and KKR III GP LLC, Messrs. Marc S. Lipshchultz, Jacques Garaialde, Reinhard Gorenflos, Scott C. Nuttall and Michael M. Calbert may also be deemed to be beneficial owners of the securities held by KKR Millennium Fund L.P. and KKR Partners III, L.P., respectively; and as directors of KKR Europe Limited, Messrs. Kravis, Roberts, Raether, Michelson, Greene, Golkin, Huth, Navab, Fisher, Lipschultz and Garaialde also may be deemed to be beneficial owners of the securities held by KKR European Fund, Limited Partnership. Each Reporting Person other than the record holders disclaims beneficial ownership of the securities that may be deemed to be beneficially owned by such Reporting Person, except to the extent of such Reporting Person's own pecuniary interest therein.
- (8) Due to the limitations of Form 4, certain of the persons described as beneficial owners or deemed beneficial owners of the securities reported on this Form 4 are filing as reporting persons on separate Forms 4. In addition, certain of the persons described as beneficial owners or deemed beneficial owners of the securities reported on this Form 4 have not had any change in their beneficial ownership or deemed beneficial ownership and, accordingly, are not filing a Form 4.
- (9) The filing of this statement shall not be deemed an admission that, for the purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise, the Reporting Person is the beneficial owner of any equity securities covered by this statement.
- (10)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.