

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/  
 Form 4  
 March 02, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 KING, ALLEN B.  
 1501 NORTH HAMILTON STREET  
 RICHMOND, VA 23230  
 USA
2. Issuer Name and Ticker or Trading Symbol  
 UNIVERSAL CORPORATION  
 UVV
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 02/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 PRESIDENT & COO
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/D	Price	5. Amount of Securities Beneficially Owned at End of Month
COMMON STOCK	2/12/01	M	7,572	A	\$28.5625	
COMMON STOCK	2/12/01	S	6,238 (1)	D	\$34.672	
COMMON STOCK	2/12/01	S	640 (2)	D	\$34.672	694
COMMON STOCK	2/14/01	M	10,000	A	\$33.25	
COMMON STOCK	2/14/01	S	9,124 (1)	D	\$36.44	
COMMON STOCK	2/14/01	S	410 (2)	D	\$36.44	466
COMMON STOCK	2/20/01	M	14,371	A	\$35.3125	

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COMMON STOCK	2/20/01	M		25,866	A	\$33.25		
COMMON STOCK	2/20/01	S		37,027(1)	D	\$36.93		
COMMON STOCK	3/20/01	S		1,501(2)	D	\$36.93	1,709	
COMMON STOCK							107,875	
COMMON STOCK							16,491	
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						TOTAL	127,235	

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired(A) or Disposed of(D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call
OPTIONS TO BUY COMMON STOCK	28.5625	2/12/01	M	7,572	12/15/99 - 12/4/01	COMMON STOCK (4)	
OPTIONS TO BUY COMMON STOCK	33.25	2/14/01	M	10,000	6/15/99 - 12/4/01	COMMON STOCK (4)	
OPTIONS TO BUY COMMON STOCK	35.3125	2/20/01	M	14,371	12/15/98 - 12/4/01	COMMON STOCK (4)	
OPTIONS TO BUY COMMON STOCK	33.25	2/20/01	M	25,866	6/15/99 - 12/4/01	COMMON STOCK (4)	

Explanation of Responses:

(1) Sale of shares to pay the exercise price on a cashless exercise

(2) Sale of shares to pay the taxes on a cashless exercise

(3) shares held in the Stock Purchase Plan

(4) shares issued under the Executive Stock Plan

SIGNATURE OF REPORTING PERSON

ALLEN B. KING, SIGNATURE ON FILE, FILED ELECTRONICALLY

DATE

MARCH 2, 2001

