Edgar Filing: Groft W. Randolph - Form 4

Groft W. Ra	•									
October 30,	лл	STATES S					COMMISSION	N OMB	PPROVAL 3235-0287	
Check th if no lon subject t Section Form 4 o Form 5 obligatio	ger o 16. or Filed put	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSH SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of Section 17(a) of the Public Utility Holding Company Act of 1935 o						Estimated burden hou response	January 31, 2005 average urs per	
may con <i>See</i> Instr 1(b).	unue. ruction	· /		-	U	ny Act of 1		011		
(Print or Type 1. Name and A Groft W. R	Address of Reporting	S	Symbol		I Ticker or	Trading	5. Relationship o Issuer	of Reporting Per eck all applicabl		
(Last) (First) (Middle) 134 BOND STREET			3. Date of Earliest Transaction (Month/Day/Year) 10/29/2009			Director 10% Owner X Officer (give title Other (specify below) President & COO Griffith Energ				
				If Amendment, Date Original iled(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)	Table	e I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	ate, if 7	3. Fransaction Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Damindan Da	nort on a comparta lin	a far aaab alaa		Code V		or (D) Price	Transaction(s) (Instr. 3 and 4)			
Keminder: Rej	port on a separate line	e for each class	s of secur	tues benef	Perso	ons who res	prindirectly. pond to the colle ained in this form		SEC 1474 (9-02)	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

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(Instr. 3)	. 3) Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(In	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>	10/29/2009		А	13.022		(2)	(2)	Common Stock	13.022	\$ 4

Reporting Owners

Reporting Owner Name / Address	Relationships							
reporting of the round round of	Director	10% Owner	Officer	Other				
Groft W. Randolph 134 BOND STREET WESTMINSTER, MD 21157			President & COO Griffith Energ					
Signatures								
W. Randolph Groft Per Power of Attorney		10/30/2009						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price of the reported phantom stock units is set at the price of common stock of CH Energy Group, Inc. on a one-for-one basis.

As provided in the Company's Directors and Executives Deferred Compensation Plan, the phantom stock will be settled in cash on a date (2) following the termination of service of the reporting person or a date fixed at the election of the reporting person. The reporting person

also may transfer some or all of the phantom stock into an alternative investment account at any time. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.