Edgar Filing: GAMCO INVESTORS, INC. ET AL - Form 10-Q/A

GAMCO INVESTORS, INC. ET AL Form 10-Q/A August 03, 2011

SECURITIES & EXCHANGE COMMISSION WASHINGTON, D.C. 20549 FORM 10-Q/A (Amendment No. 1)

(Mark One)

[X] QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

For the quarterly period ended June 30, 2011

or

[] TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

For the transition period from ____ to ____

Commission File No. 1-106

GAMCO INVESTORS, INC.

(Exact name of Registrant as specified in its charter)

New York 13-4007862
(State of other jurisdiction (I.R.S. Employer of incorporation or Identification No.) organization)

One Corporate Center, Rye, 10580-1422

NY

(Address of principle (Zip Code)

executive offices)

(914) 921-3700

Registrant's telephone number, including area code

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days.

Yesx Noo

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yesx Noo

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definitions of "large accelerated filer", "accelerated filer", and "smaller reporting company" in Rule 12b-2 of the Exchange Act. (Check one):

Edgar Filing: GAMCO INVESTORS, INC. ET AL - Form 10-Q/A

Large accelerated filer " Accelerated filer x

Non-accelerated filer o Smaller reporting

company o

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act). Yeso Nox

Indicate the number of shares outstanding of each of the Registrant's classes of Common Stock, as of the latest practical date.

Class Outstanding at July 31,

2011

Class A Common Stock, .001 6,644,812

par value

Class B Common Stock, .001 20,142,640

par value

Explanatory Note

This Amendment No. 1 to our Quarterly Report on Form 10-Q for the quarter ended June 30, 2011 is being filed solely for the purpose of furnishing Exhibit 101 to the Form 10-Q in accordance with Rule 405 of Regulation S-T. Exhibit 101 provides financial information for the quarter formatted in XBRL. This Amendment does not reflect any subsequent events occurring after the original filing date of the Form 10-Q or modify or update in any way disclosures made in the original filing.

Item 6. Exhibits

Description Exhibit # **XBRL** Instance 101.INS Document **XBRL** Taxonomy 101.SCH Extension Schema Document **XBRL** Taxonomy 101.CAL Extension Calculation Linkbase Document **XBRL** Taxonomy 101.DEF Extension Definition Linkbase Document **XBRL** Taxonomy 101.LAB Extension Labels Linkbase Document **XBRL** Taxonomy 101.PRE Extension Presentation Linkbase Document

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

GAMCO INVESTORS, INC.

(Registrant)

By:/s/ Kieran Caterina	By:/s/ Diane M. LaPointe
Name: Kieran Caterina	Name: Diane M. LaPointe
Title: Co-Principal Accounting Officer	Title: Co-Principal Accounting Officer
Date: August 3, 2011	Date: August 3, 2011