Edgar Filing: GUARANTY FEDERAL BANCSHARES INC - Form 4

GUARANTY FEDERAL BANCSHARES INC

Form 4

COMMON

STOCK

September 22, 2014

September 22						OME	3 APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Estimate burden h respons	Number: Expires: Sanuary 31, 2005 Estimated average burden hours per response 0.5	
1(b). (Print or Type R	esponses)							
BURKE SHAUN A Symbol GUARA			e and Ticker or Tr Y FEDERAL RES INC [GFE		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			te of Earliest Transaction th/Day/Year) 2/2014			X Director 10% Owner X Officer (give title Other (specify below) President/CEO		
NIVA MO	(Street)	4. If Amendmer Filed(Month/Day	nt, Date Original y/Year)		6. Individual or Applicable Line) _X_ Form filed by Form filed by		g Person	
NIXA, MO 6	05/14				Person		1 0	
(City)	(State) (Zip)	Table I - N	Non-Derivative Se	curities Acq	quired, Disposed	of, or Benefi	cially Owned	
1.Title of Security (Instr. 3)	an	ecution Date, if Tra	nsaction(A) or Disp	posed of	Securities O Beneficially Fe Owned D Following or Reported (I Transaction(s) (I	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	09/22/2014	Coo M	de V Amount 1 10,000	(D) Price A \$ 5.3	(Instr. 3 and 4) 10,000	D		
COMMON STOCK					3,100	I	CHILDREN	
COMMON STOCK					11,976	I	Restricted	
COMMON STOCK					15,000	I	Co-TTEE	

9,453

I

IRA

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	TransactionDerivative Code Securities		Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
	Security									
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
OPTION RIGHT TO BUY	\$ 5.3	09/22/2014		M			01/02/2010	01/02/2019	COMMON STOCK	0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BURKE SHAUN A 855 E GRAFTON DR NIXA, MO 65714	X		President/CEO				

Signatures

SHAUN A. 09/22/2014 BURKE

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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