Golden Minerals Co Form 4 January 20, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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0.5

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Sentient Global Resources Fund IV, L.P.

> (Last) (First)

(Middle)

LANDMARK SQUARE, 1ST FL., 64 EARTH CLOSE, WEST BAY BEACH SOUTH; PO BOX 10795

(Street)

2. Issuer Name and Ticker or Trading Symbol

Golden Minerals Co [AUMN]

3. Date of Earliest Transaction (Month/Day/Year) 01/19/2016

3.

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director X 10% Owner Other (specify Officer (give title below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### GEORGE TOWN, GRAND CAYMAN, E9 KY1-1107

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if Security (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

4. Securities

D 10,283,944

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N
Warrants	\$ 5.6 (1)	09/19/2012		J	682,897	03/20/2013	09/19/2017	Common Stock
Warrants	\$ 5.6 (1)	09/10/2014		J	119,352 (3)	09/10/2014	09/19/2017	Common Stock
Warrants	\$ 1 <u>(1)</u>	09/10/2014		J	2,900,000	03/11/2015	09/10/2019	Common Stock
Warrants	\$ 5.6 (1)	01/19/2016		J	225,441 (3)	01/19/2016	09/19/2017	Common Stock
Convertible Note	\$ 0.29	01/19/2016		P	17,241,379 (4)	01/19/2016	10/27/2016	Common 1 Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of most remained and analysis	Director	10% Owner	Officer	Other		
Sentient Global Resources Fund IV, L.P. LANDMARK SQUARE, 1ST FL., 64 EARTH CLOSE WEST BAY BEACH SOUTH; PO BOX 10795 GEORGE TOWN, GRAND CAYMAN, E9 KY1-1107		X				

# **Signatures**

/s/ Andrew
Pullar

\*\*Signature of Reporting Person

O1/19/2016

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents re-pricing of Warrants due to anti-dilution adjustment.
- (2) N/A
- (3) Represents additional Warrants issued pursuant to anti-dilution adjustment.
- Assumes conversion price of \$0.29 per share. Conversion price subject to downward adjustment if 15 day VWAP prior to conversion date is lower. Does not include conversion of accrued and unpaid interest at the applicable conversion price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2