

Lebel Joseph III
Form 4
June 12, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Lebel Joseph III

2. Issuer Name and Ticker or Trading Symbol
OCEANFIRST FINANCIAL CORP
[OCFC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
110 WEST FRONT STREET
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
06/11/2018

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
EVP, CBO OceanFirst Bank

RED BANK, NJ 07701

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 06/11/2018 | | M | 11,250 A \$ 14.62 | 56,750 | D ⁽¹⁾ | |
| Common Stock | 06/11/2018 | | M | 19,500 A \$ 17.75 | 76,250 | D ⁽¹⁾ | |
| Common Stock | 06/11/2018 | | F | 21,653 D \$ 30.19 | 54,597 | D ⁽¹⁾ | |
| Common Stock | | | | | 9,768 | I | By 401(k) ⁽²⁾ |
| Common Stock | | | | | 8,147 | I | By ESOP ⁽²⁾ |

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Common Stock 682 I By Spouse (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Option (right to buy) | \$ 14.62 | 06/11/2018 | | M | 11,250 | 02/15/2014 02/15/2023 | Common Stock 11,250 |
| Stock Option (right to buy) | \$ 17.75 | 06/11/2018 | | M | 19,500 | 03/01/2015 03/19/2024 | Common Stock 19,500 |
| Stock Option (right to buy) | \$ 14.55 | | | | | 06/17/2014 06/17/2023 | Common Stock 11,250 |
| Stock Option (right to buy) | \$ 17.37 | | | | | 03/01/2016 03/18/2025 | Common Stock 30,000 |
| Stock Option (right to buy) | \$ 17.28 | | | | | 03/01/2017 03/16/2026 | Common Stock 37,500 |
| Stock Option (right to buy) | \$ 29.01 | | | | | 03/15/2018 03/15/2027 | Common Stock 45,000 |

| | | | | | |
|--------------------------------------|---------|------------|------------|-----------------|--------|
| Stock Option (right to buy) | \$ 27.4 | 03/01/2019 | 01/24/2028 | Common Stock | 15,485 |
|--------------------------------------|---------|------------|------------|-----------------|--------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|--------------------------|
| | Director | 10% Owner | Officer | Other |
| Lebel Joseph III 110 WEST FRONT STREET RED BANK, NJ 07701 | | | | EVP, CBO OceanFirst Bank |

Signatures

| | |
|---|------------|
| /s/ Steven J. Tsimbinos, Power of Attorney | 06/12/2018 |
| <u> </u> **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes shares of restricted Common stock that have not yet vested.
- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (3) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16a-11.
- (4) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.