

Edgar Filing: Pioneer Floating Rate Trust - Form NSAR-A

Pioneer Floating Rate Trust  
Form NSAR-A  
July 28, 2016

PAGE 1  
000 A000000 05/31/2016  
000 C000000 0001305767  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
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000 I000000 6.1  
000 J000000 A  
001 A000000 PIONEER FLOATING RATE TRUST  
001 B000000 811-21654  
001 C000000 6177427825  
002 A000000 60 STATE STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02109  
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004 000000 N  
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007 C013000 30  
007 C013100 31  
007 C013200 32  
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007 C013600 36  
007 C013700 37  
007 C013800 38  
007 C013900 39  
007 C014000 40  
008 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
008 B000001 A  
008 C000001 801-8255  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109  
010 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
010 B000001 801-8225  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02109  
010 A000002 STATE STREET BANK & TRUST COMPANY  
010 C010002 BOSTON  
010 C020002 MA  
010 C030002 02101  
010 A000003 BROWN BROTHERS HARRIMAN & CO  
010 C010003 BOSTON  
010 C020003 MA  
010 C030003 02110  
011 A000001 MERRILL LYNCH & CO.  
011 B000001 8-7221  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10281  
011 C040001 1201  
011 A000002 UBS INVESTMENT BANK  
011 B000002 8-022651  
011 C010002 STAMFORD  
011 C020002 CT  
011 C030002 06901  
011 A000003 RBC CAPITAL MARKETS CORP.  
011 B000003 8-045411  
011 C010003 MINNEAPOLIS  
011 C020003 MN  
011 C030003 55402  
011 C040003 4422  
011 A000004 ADVEST, INC.  
011 B000004 8-21409  
011 C010004 HARTFORD  
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011 C030004 06103  
011 A000005 JANNEY MONTGOMERY SCOTT, LLC  
011 B000005 8-462  
011 C010005 PHILADELPHIA  
011 C020005 PA  
011 C030005 19103  
011 C040005 1675  
011 A000006 A.G. EDWARDS  
011 B000006 8-13580  
011 C010006 ST. LOUIS  
011 C020006 MO  
011 C030006 63103  
011 A000007 STIFEL, NICOLAUS & CO., INC.  
011 B000007 8-001447  
011 C010007 ST. LOUIS  
011 C020007 MO

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011 C030007 63102  
011 A000008 ROBERT W. BAIRD & CO.  
011 B000008 8-00497  
011 C010008 MILWAUKEE  
011 C020008 WI  
011 C030008 53201  
011 A000009 FERRIS, BAKER WATTS, INC.  
011 B000009 8-16427  
011 C010009 BALTIMORE  
011 C020009 MD  
011 C030009 21202  
011 A000010 J.J.B. HILLIARD, W.L. LYONS, INC.  
011 B000010 8-03868  
011 C010010 LOUISVILLE  
011 C020010 KY  
011 C030010 40202  
011 A000011 KEYBANC CAPITAL MARKETS  
011 B000011 8-0000  
011 C010011 CLEVELAND  
011 C020011 OH  
011 C030011 44114  
011 A000012 MORGAN KEEGAN & CO., INC.  
011 B000012 8-15001  
011 C010012 MEMPHIS  
011 C020012 TN  
011 C030012 38103  
011 A000013 OPPENHEIMER & CO.  
011 B000013 8-04077  
011 C010013 NEW YORK  
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011 C030013 10021  
011 A000014 RYAN BECK & CO., INC.  
011 B000014 8-12296  
011 C010014 FLORHAM PARK  
011 C020014 NJ  
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011 C030014 07932  
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011 B000015 8-17212  
011 C010015 ATLANTA  
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011 A000016 WEDBUSH MORGAN SECURITIES, LLC.  
011 B000016 8-12987  
011 C010016 LOS ANGELES  
011 C020016 CA  
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011 A000017 WELLS FARGO SECURITIES, LLC  
011 B000017 8-22947  
011 C010017 SAN FRANCISCO  
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011 C030017 94101  
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO. (COMMON)  
012 B000001 85-0000  
012 C010001 BROOKLYN  
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012 A000002 DEUTSCHE BANK TRUST COMPANY AMERICAS (PREF.)  
012 B000002 85-0000  
012 C010002 NEW YORK  
012 C020002 NY  
012 C030002 10005

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012 A000003 DELETE  
013 A000001 DELOITTE & TOUCHE LLP  
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015 C020001 MA  
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015 A000002 CITIBANK, N.A. BUENOS AIRES BRANCH  
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015 C010002 BUENOS AIRES  
015 D010002 ARGENTINA  
015 E040002 X  
015 A000003 HSBC BANK AUSTRALIA LIMITED  
015 B000003 S  
015 C010003 SYDNEY  
015 D010003 AUSTRALIA  
015 E040003 X  
015 A000004 UNICREDIT BANK AUSTRIA AG  
015 B000004 S  
015 C010004 VIENNA  
PAGE 5  
015 D010004 AUSTRIA  
015 E040004 X  
015 A000005 STANDARD CHARTERED BANK, BANGLADESH BRANCH  
015 B000005 S  
015 C010005 DHAKA  
015 D010005 BANGLADESH  
015 E040005 X  
015 A000006 BNP PARIBAS SECURITIES SERVICES  
015 B000006 S  
015 C010006 PANTIN  
015 D010006 BELGIUM  
015 E040006 X  
015 A000007 CITIBANK, N.A., SAO PAULO  
015 B000007 S  
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015 D010007 BRAZIL  
015 E040007 X  
015 A000008 CITIBANK EUROPE PLC, BULGARIA BRANCH  
015 B000008 S  
015 C010008 SOFIA  
015 D010008 BULGARIA  
015 E040008 X  
015 A000009 RBC INVESTOR SERVICES TRUST  
015 B000009 S  
015 C010009 TORONTO  
015 D010009 CANADA  
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015 A000010 BANCO DE CHILE  
015 B000010 S  
015 C010010 SANTIAGO  
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015 A000011 STANDARD CHARTERED BANK (CHINA) LIMITED  
015 B000011 S  
015 C010011 SHANGHAI  
015 D010011 CHINA

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015 E040011 X  
015 A000012 CITITRUST COLOMBIA S.A., SOCIEDAD FIDUCIARA  
015 B000012 S  
015 C010012 BOGOTA  
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015 A000013 UNICREDIT BANK AUSTRIA AG  
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015 A000014 CITIBANK EUROPE PLC, ORGANIZACNI SLOZKA  
015 B000014 S  
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015 D010014 CZECH REPUBLIC  
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015 B000015 S  
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015 A000016 SKANDINAVISKA ENSKILDA BANKEN AB(PUBL)  
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015 A000017 CITIBANK, N.A., CAIRO BRANCH  
015 B000017 S  
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015 D010017 EGYPT  
015 E040017 X  
015 A000018 NORDEA BANK FINLAND PLC  
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015 A000021 HSBC BANK PLC, ATHENS BRANCH  
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015 E040021 X  
015 A000022 STANDARD CHARTERED BANK (HONG KONG) LIMITED  
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015 A000023 CITIBANK EUROPE PLC, HUNGARIAN BRANCH OFFICE  
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015 A000024 CITIBANK, N.A., MUMBAI BRANCH  
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015 D010024 INDIA  
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015 A000025 CITIBANK, N.A., JAKARTA BRANCH  
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015 A000026 CITIBANK, N.A., LONDON BRANCH  
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015 B000027 S  
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015 D010027 ISRAEL  
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015 A000028 BNP PARIBAS SECURITIES SERVICES, MILAN BRANCH  
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015 A000029 SUMITOMO MITSU BANKING CORPORATION  
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015 E040029 X  
015 A000030 HSBC BANK MIDDLE EAST LIMITED, KUWAIT BRANCH  
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015 D010030 KUWAIT  
015 E040030 X  
015 A000031 KBL EUROPEAN PRIVATE BANKERS SA  
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015 C010031 LUXEMBOURG  
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015 E040031 X  
015 A000032 HSBC BANK MALAYSIA BERHAD  
015 B000032 S  
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015 D010032 MALAYSIA  
015 E040032 X  
015 A000033 STANBIC IBTC BANK PLC  
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015 A000034 BANCO NACIONAL DE MEXICO, S.A. (BANAMEX)  
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015 A000035 CITIBANK MAGHREB  
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015 B000035 S  
015 C010035 CASABLANCA  
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015 A000036 BNP PARIBAS SECURITIES SERVICES  
015 B000036 S  
015 C010036 PANTIN  
015 D010036 NETHERLANDS  
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015 A000037 HSBC LTD.,NEW ZEALAND BRANCH  
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015 C010037 AUCKLAND  
015 D010037 NEW ZEALAND  
015 E040037 X  
015 A000038 NORDEA BANK NORGE ASA  
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015 C010038 OSLO  
015 D010038 NORWAY  
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015 A000039 HSBC BANK MIDDLE EAST LIMITED, QATAR BRANCH  
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015 C010039 ST. HELIER  
015 D010039 QATAR  
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015 A000040 CITIBANK DEL PERU S.A.  
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015 C010040 LIMA  
015 D010040 PERU  
015 E040040 X  
015 A000041 HSBC LTD.,PHILIPPINE BRANCH  
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015 E040041 X  
015 A000042 BANK HANDLOWY W WARSZAWIE SA  
015 B000042 S  
015 C010042 WARSAW  
015 D010042 POLAND  
015 E040042 X  
015 A000043 BNP PARIBAS SECURITIES SERVICES  
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015 A000047 CITIBANK EUROPE PLC POBOCKA ZAHRANICNEJ BAN  
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015 A000049 STANDARD CHARTERED BANK KEYNA LIMITED  
015 B000049 S  
015 C010049 NAIROBI  
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015 A000050 NORDEA BANK FINLAND PLC  
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015 C010050 HELSINKI  
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015 A000051 BANCO BILBAO VIZCAYA AREGENTARIA SA  
015 B000051 S  
015 C010051 MADRID  
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015 A000052 HSBC LTD, SRI LANKA BRANCH  
015 B000052 S  
015 C010052 COLOMBO  
015 D010052 SRI LANKA  
015 E040052 X  
015 A000053 SKANDINAVISKA ENSKILDA BANKEN AB (PUBL)  
015 B000053 S  
015 C010053 STOCKHOLM  
015 D010053 SWEDEN  
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015 A000054 CREDIT SUISSE AG  
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015 C010054 ZURICH  
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015 A000058 HSBC BANK MIDDLE EAST LIMITED  
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015 A000059 HSBC BANK PLC  
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015 C010059 LONDON  
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015 A000060 CITIBANK, N.A., CARACAS BRANCH  
015 B000060 S  
015 C010060 CARACAS  
015 D010060 VENEZUELA  
015 E040060 X  
015 A000061 HSBC BANK (VIETNAM) LTD



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015 B000061 S  
015 C010061 HO CHI MINH CITY  
015 D010061 VIETNAM  
015 E040061 X  
015 A000062 CITIBANK KOREA INC  
015 B000062 S  
015 C010062 SEOUL  
015 D010062 SOUTH KOREA  
015 E040062 X  
015 A000063 EUROCLEAR BANK SA NV  
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020 B000001 13-5674085  
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020 A000002 CITIGROUP GLOBAL MARKETS INC.  
020 B000002 11-2418191  
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022 B000001 14-1981175  
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022 A000002 BARCLAYS CAPITAL INC.  
022 B000002 06-1031656  
022 C000002 160462  
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022 A000003 J.P. MORGAN SECURITIES LLC  
022 B000003 13-4110995  
022 C000003 133402  
022 D000003 1029  
022 A000004 NATIONAL FINANCIAL SERVICES LLC  
022 B000004 04-3523567  
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022 A000005 GOLDMAN SACHS & CO.  
022 B000005 13-5108880  
022 C000005 81692  
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022 A000006 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.

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| 022 | A000008 | RBC CAPITAL MARKETS, LLC           |
| 022 | B000008 | 41-1416330                         |
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| 022 | A000009 | CREDIT SUISSE SECURITIES (USA) LLC |
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| 022 | A000010 | BROWN BROTHERS HARRIMAN & CO.      |
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PAGE 14

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| 062 | G000000 |    | 0.0   |
| 062 | H000000 |    | 0.0   |
| 062 | I000000 |    | 1.0   |
| 062 | J000000 |    | 0.0   |
| 062 | K000000 |    | 0.0   |
| 062 | L000000 |    | 0.0   |
| 062 | M000000 |    | 0.9   |
| 062 | N000000 |    | 0.0   |
| 062 | O000000 |    | 0.0   |
| 062 | P000000 |    | 5.4   |
| 062 | Q000000 |    | 135.0 |
| 062 | R000000 |    | 1.6   |
| 063 | A000000 |    | 0     |
| 063 | B000000 |    | 4.1   |
| 064 | A000000 | N  |       |
| 064 | B000000 | Y  |       |
| 065 | 000000  | N  |       |
| 066 | A000000 | N  |       |
| 067 | 000000  | N  |       |
| 068 | A000000 | N  |       |
|     | PAGE    | 15 |       |
| 068 | B000000 | N  |       |
| 069 | 000000  | N  |       |
| 070 | A010000 | Y  |       |
| 070 | A020000 | Y  |       |
| 070 | B010000 | Y  |       |
| 070 | B020000 | N  |       |
| 070 | C010000 | Y  |       |
| 070 | C020000 | N  |       |
| 070 | D010000 | Y  |       |
| 070 | D020000 | N  |       |
| 070 | E010000 | Y  |       |
| 070 | E020000 | N  |       |

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|       |         |    |        |
|-------|---------|----|--------|
| 070   | F010000 | Y  |        |
| 070   | F020000 | N  |        |
| 070   | G010000 | Y  |        |
| 070   | G020000 | N  |        |
| 070   | H010000 | Y  |        |
| 070   | H020000 | N  |        |
| 070   | I010000 | N  |        |
| 070   | I020000 | N  |        |
| 070   | J010000 | Y  |        |
| 070   | J020000 | Y  |        |
| 070   | K010000 | Y  |        |
| 070   | K020000 | Y  |        |
| 070   | L010000 | Y  |        |
| 070   | L020000 | Y  |        |
| 070   | M010000 | Y  |        |
| 070   | M020000 | N  |        |
| 070   | N010000 | Y  |        |
| 070   | N020000 | N  |        |
| 070   | O010000 | Y  |        |
| 070   | O020000 | N  |        |
| 070   | P010000 | Y  |        |
| 070   | P020000 | N  |        |
| 070   | Q010000 | N  |        |
| 070   | Q020000 | N  |        |
| 070   | R010000 | N  |        |
| 070   | R020000 | N  |        |
| 071   | A000000 |    | 82635  |
| 071   | B000000 |    | 83783  |
| 071   | C000000 |    | 431689 |
| 071   | D000000 |    | 19     |
| 072   | A000000 |    | 6      |
| 072   | B000000 |    | 7557   |
| 072   | C000000 |    | 43     |
| 072   | D000000 |    | 0      |
| 072   | E000000 |    | 282    |
| 072   | F000000 |    | 1553   |
| 072   | G000000 |    | 123    |
| 072   | H000000 |    | 0      |
| 072   | I000000 |    | 7      |
|       | PAGE    | 16 |        |
| 072   | J000000 |    | 38     |
| 072   | K000000 |    | 0      |
| 072   | L000000 |    | 7      |
| 072   | M000000 |    | 9      |
| 072   | N000000 |    | 0      |
| 072   | O000000 |    | 0      |
| 072   | P000000 |    | 925    |
| 072   | Q000000 |    | 0      |
| 072   | R000000 |    | 43     |
| 072   | S000000 |    | 31     |
| 072   | T000000 |    | 0      |
| 072   | U000000 |    | 0      |
| 072   | V000000 |    | 0      |
| 072   | W000000 |    | 107    |
| 072   | X000000 |    | 2843   |
| 072   | Y000000 |    | 0      |
| 072   | Z000000 |    | 5039   |
| 072AA | 000000  |    | 0      |
| 072BB | 000000  |    | 3817   |
| 072CC | 010000  |    | 8457   |
| 072CC | 020000  |    | 0      |
| 072DD | 010000  |    | 8906   |

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|             |        |
|-------------|--------|
| 072DD020000 | 0      |
| 072EE000000 | 0      |
| 073 A010000 | 0.3600 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 11700  |
| 074 B000000 | 1000   |
| 074 C000000 | 6545   |
| 074 D000000 | 431038 |
| 074 E000000 | 0      |
| 074 F000000 | 1400   |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 3226   |
| 074 J000000 | 7361   |
| 074 K000000 | 0      |
| 074 L000000 | 2728   |
| 074 M000000 | 56     |
| 074 N000000 | 465054 |
| 074 O000000 | 15886  |
| 074 P000000 | 265    |
| 074 Q000000 | 143450 |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 0      |
| 074 R040000 | 323    |
| 074 S000000 | 0      |
| 074 T000000 | 305130 |
| PAGE 17     |        |
| 074 U010000 | 24738  |
| 074 U020000 | 0      |
| 074 V010000 | 12.33  |
| 074 V020000 | 0.00   |
| 074 W000000 | 0.0000 |
| 074 X000000 | 4922   |
| 074 Y000000 | 168    |
| 075 A000000 | 0      |
| 075 B000000 | 299286 |
| 076 000000  | 11.17  |
| 077 A000000 | N      |
| 078 000000  | N      |
| 080 C000000 | 0      |
| 081 B000000 | 0      |
| 082 B000000 | 0      |
| 083 A000000 | N      |
| 083 B000000 | 0      |
| 084 A000000 | N      |
| 084 B000000 | 0      |
| 085 A000000 | N      |
| 085 B000000 | N      |
| 086 A010000 | 0      |
| 086 A020000 | 0      |
| 086 B010000 | 0      |
| 086 B020000 | 0      |
| 086 C010000 | 0      |
| 086 C020000 | 0      |
| 086 D010000 | 0      |
| 086 D020000 | 0      |
| 086 E010000 | 0      |
| 086 E020000 | 0      |
| 086 F010000 | 0      |

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086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 72369J102  
087 A030000 PHD  
087 B010000 PREFERRED STOCK M7  
087 B020000 72369J201  
087 C010000 PREFERRED STOCK W7  
087 C020000 72369J300  
088 A000000 N  
088 B000000 N  
088 C000000 Y  
088 D000000 N  
SIGNATURE MARK E. BRADLEY  
TITLE TREASURER